

To,

National Stock Exchange of India Limited Listing Dept. Exchange Plaza, Bandra Kurla Complex Bandra (East), Mumbai-400051

Scrip Code: EMKAY Dear Sirs, BSE Limited Listing Dept. P.J.Tower, Dalal Street Fort, Mumbai-400001

Scrip Code: 532737

Subject: Disclosure of Related Party Transaction

Pursuant to Regulation 23 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, (as amended from time to time) Please find enclosed herewith disclosure of Related party Transaction on consolidated basis in the format specified in the accounting standard for the half year ended 31st March 2020.

Thanking you,

Yours Faithfully, For Emkay Global Financial Services Limited

B. M. Raul Company Secretary & Compliance Officer



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Birla Centurion, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9105 Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Emkay Global Financial Services Limited

Consolidated Related Party Transactions for the six months ended 31st March, 2020 (from 01.10.2019 - 31.03.2020)

No.	Name	Relationship	Nature of transaction	Transaction amount during	Amount Outstanding as on
				Oct.19 to March,20	31.03.2020
	Related parties where control exists				
	Associate				
1	Azalea Capital Partners LLP	Associate of parent company	Depository Charges	965	-
			Investment via Capital Contribution	-	4,50,000
			Reimbursement of expenses	19,080	19,080
			Trade Payables	-	7,120
2	Finlearn Edutech Private Limited	Associate of wholly owned subsidiary company	Sale of assets	6,40,215	-
			Depository Charges	675	-
			Trade Payables	-	33
			Loan granted and received back	2,00,00,000 6,12,361	- 2,000
			Payments made on behalf Interest received	5,464	2,000 4,917
			Investment made	1,09,72,500	1,09,72,500
	Key managerial personnel			1,00,72,000	1,00,72,000
3	Krishna Kumar Karwa	KMP having control or significant influence	Salaries & Other Benefits	67,20,000	-
			Brokerage Income	8,980	-
			Depository Charges	330	-
			Trade Payables	-	21,261
4	Prakash Kacholia	KMP having control or significant influence	Salaries & Other Benefits	67,20,000	-
		-	Brokerage Income	15,875	-
			Portfolio management fees	1,38,614	70,693
			Depository Charges	1,930	-
			Trade payables	-	73,26,270
	Relatives of key managerial personnel				
5	Preeti Kacholia	Relative of KMP	Brokerage Income	2,20,799	-
			Portfolio management fees	21,602	13,435
			Depository Charges	4,570	-
			Trade payables	-	20,96,972
6	Priti Karwa	Relative of KMP	Brokerage Income	398	-
			Depository Charges	45	-
			Trade payables	-	1,26,480

7	Raunak Karwa	Relative of KMP	Salaries & Other Benefits Depository Charges	10,08,000 15	-
8	Soumya K Karwa	Relative of KMP	Depository Charges Trade Receivables	620 -	- 124
9	Murlidhar Karwa HUF	KMP having control or significant influence	Brokerage Income	3,200	-
		5	Depository Charges	105	-
10	Krishna Kumar Karwa HUF	KMP having control or significant influence	Brokerage Income	4,000	-
			Depository Charges Trade Receivables	16 -	- 18
11	Nidhi Kacholia	Relative of KMP	Depository Charges	330	-
12	Divya Kacholia	Relative of KMP	Brokerage Income Depository Charges	240 90	-
13	Enterprises owned/controllled by KMP Synthetic Fibres Trading Co	Enterprise owned/controlled by KMP	Brokerage Income	2,16,128	-
			Depository Charges Trade payables	1,215 -	- 2,21,995
14	Emkay Corporate Services Private Limited	Enterprise owned/controlled by KMP	Portfolio management fees	1,04,434	38,347
			Depository Charges	965	-
15	Krishna Investments	Enterprise owned/controlled by relative of KMP	Brokerage and Commission	24,143	-
			Trade Payables	-	82,021
16	Seven Hills Capital	Enterprise owned/controlled by KMP	Brokerage Income	1,70,269	-
			Depository Charges Trade Receivables	1,600 -	- 51,29,635
17	<u>Others</u> EGFSL Employees Group Gratuity Assurance Scheme (Trust)	Others	Gratuity Contribution	81,14,411	1,27,13,416
18	Emkay Fincap Ltd. Employees Group Gratuity Ass. Fund (Trust)	Others	Gratuity Contribution	2,19,088	4,49,012
19	Emkay Wealth Advisory Ltd. Emp.Group Gratuity Ass.Fund (Trust)	Others	Gratuity Contribution	53,777	81,504
20	Emkay Investment Managers Ltd. Emp.Group Gratuity Ass.Fund (Trust)	Others	Gratuity Contribution	1,93,168	5,63,411
21	Emkay Commotrade Ltd. Emp.Group Gratuity Ass.Fund (Trust)	Others	Gratuity Contribution	34,346	46,024

3	PERCENTION & R. INSTRUCTIONNESS DER RESERVOIRS	Salaries & Other Benefits Salaries & Other Benefits	21,30,444 11,67,004	
	company occietary		11,07,004	

