



OSWAL AGRO MILLS LIMITED

OAML/ND/2023

April 21, 2023

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai-400 001

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor, Plot No. C/1,
Block G, Bandra-Kurla Complex, Bandra (E)
Mumbai-400 051

Scrip Code: 500317

Trading Symbol: OSWALAGRO

Subject: Certificate under regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir/ Madam,

Pursuant to the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the certificate for the year ended March 31, 2023 issued by M/s CT & Company, Practicing Company Secretaries.

Request you to please take the same on record.

Thanking you,

Yours sincerely,
For **Oswal Agro Mills Limited**

Govind Surya Singh
Company Secretary & Compliance Officer

Encl: As above



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023.

In reference to the Securities and Exchange Board of India (SEBI) gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018 for amendment in Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI vide gazette notification dated January 24, 2022 and SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022:

We have examined the relevant books and records of **M/s OSWAL AGRO MILLS LIMITED** having its **Registered Office at, Near Jain Colony Vijay Inder Nagar, Doaba Road Ludhiana-144403, Punjab, India** maintained by its RTA, **M/s Skyline Financial Services Private Limited , D-153a, First Floor Okhla Industrial Area, Phase-I ,New Delhi, Delhi-110020, India**, pertaining to transfer of equity shares of the company for the Financial Year ended March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its RTA, we hereby certify that the company has delivered during the Financial Year ended **31st March, 2023:**

- Share Certificate(s) relating to transmission/transposition of shares and duplicate shares have been processed within thirty days of the date of lodgement request for transmission, transposition, duplicate shares from respective date of lodgement excepting those rejected on technical ground.
- The Company has not received any request for remat, split, consolidation and sub-division of calls/allotment monies during the period from 1st April, 2022 to 31st March, 2023.
- Any other information, if applicable:

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated 7th September, 2020 had fixed 31st March, 2021 as the cut-off date for re-lodgement of transfer deeds. The shares re-lodged for transfer after the said date will be issued only in demat mode in the manner prescribed by SEBI from time to time. Hence, the Company was not required to issue any share certificates relating to re-lodged transfer request during the period from 1st April, 2022 to 31st March, 2023

Date: 21.04.2023
Place: New Delhi

For CT & Company
Company Secretaries



UDIN: F011497E000159801
Peer Review Certificate No.: 2090/2022