

# V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

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October 12, 2021

The Corporate Relations Department  
The BSE Ltd.  
P.J.Towers, Dalal Street,  
Mumbai – 400 001

Dear Sirs,

**Ref: Company Code No. 511110**

**Sub: Certificate under clause 40 (9) of Listing Obligations and Disclosure Requirements for the half year ended 30<sup>th</sup> September 2021**

With reference to the above, we enclose the certificate dated 12<sup>th</sup> October 2021 under clause 40 (9) of Listing Obligations and Disclosure Requirements issued by Mr. N. Hariharan, Practicing Company Secretary for the half year ended on 30<sup>th</sup> September 2021.

Kindly take the same on your record.

Thanking you,  
Yours faithfully,  
For V.B. DESAI FINANCIAL SERVICES LIMITED



Kamlesh M Gagvani  
Company Secretary


Encl: As above

The Board of Directors  
V.B. Desai Financial Services Limited  
Cama Building, 1st Floor  
24/26, Dalal Street,  
Fort, Mumbai – 400 001

## CERTIFICATE FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **V.B. DESAI FINANCIAL SERVICES LIMITED** maintained by **PURVA SHAREREGISTRY (I) PVT. LIMITED** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April 2021** to **30<sup>th</sup> September 2021** for the purpose of issuing a Certificate as per Clause 40 (9) of the Listing Obligations and Disclosure Requirements as applicable to **V.B. DESAI FINANCIAL SERVICES LIMITED** with BSE and based on the information provided by the Company and by the Registrar and Share Transfer Agent of the Company, I hereby certify that the Company has delivered during half year ended on **September 30, 2021**:

- No requests for transfer, transmission, subdivision, consolidation, renewal, exchange or endorsement of calls have received during the period under review.
- During the period under review, no share certificates in respect of requests for issue of duplicate certificates have been issued.
- Any other information, if applicable – Nil

  
N. HARIHARAN  
COMPANY SECRETARY  
FCS 559 : CP 7119

**N. Hariharan & Company**  
(Proprietor: N. H. Iyer, B.A., (Spl.), AICWA., FCS.,)  
Practising Company Secretary  
FCS-559; CP No. 7119



Date : October 12, 2021  
Place: Navi Mumbai