

CIN No:- L24230PB2006PLC030341
GST No:- 02AAHCA5390H2ZT



Ref. No ANG/22-23/BSE-56

Date 29/05/2022

To

Department of Corporate Services,
BSE Limited
P J Towers, Dalal Street,
Mumbai- 400001

Ref: BSE Security Code 540694

Sub: Annual Secretarial Compliance Report for the Financial Year 2021-22.

Dear Sir/ Madam,

Pursuant to Regulation 24A of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2022, issued by M/s Anjum Goyal & Associates, Practicing Company Secretaries.

Kindly acknowledge the receipt of the same.

Thanking You.

For **ANG Lifesciences India Limited**

Renu Kaur
Company Secretary

Anjum Goyal & Associates

Company Secretaries

Secretarial Compliance Report
ANG Lifesciences India Limited
(CIN: L24230PB2006PLC030341)
For the Year Ended 31/03/2022

We Anjum Goyal & Associates, Practicing Company Secretaries have examined:

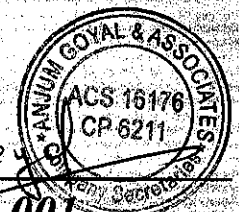
- (a) all the documents and records made available to us, and explanation provided by **ANG Lifesciences India Limited ("the listed entity")**,
- (b) the filings/ submissions made by the listed entity to the stock exchange,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant,

which has been relied upon to make this certification, for the year ended 31/03/2022 ("**Review Period**") in respect of compliance with the provisions of:

- (i) the Securities and Exchange Board of India Act, 1992 ("**SEBI Act**") and the Regulations, circulars, guidelines issued there under; and
- (ii) the Securities Contracts (Regulation) Act, 1956 ("**SCRA**"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("**SEBI**");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable to the company during reporting period.**

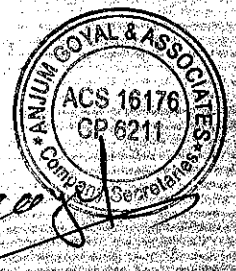


Anjum Goyal & Associates **Company Secretaries**

- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
Not Applicable to the company during reporting period.
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
Not Applicable to the company during reporting period.
- g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and circulars/ guidelines issued there under;
- h) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not Applicable to the Company during the Reporting Period); **Not Applicable to the company during reporting period.**
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- j) SEBI (Delisting of Equity Shares) Regulations, 2009 (Not Applicable to the Company during the Reporting Period); **Not Applicable to the company during reporting period.**
- k) SEBI (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- l) Other regulations as applicable and circulars/guidelines issued thereunder.

And based on the above examination, I hereby report that, during the Review Period

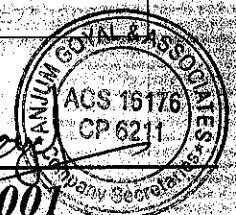
- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my/our examination of those records.
- (c) During the review period, no action was taken against the listed entity (including under the Standard Operating Procedures (SOP) issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued there under



Anjum Goyal & Associates

Company Secretaries

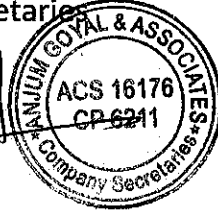
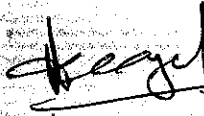
S.No.	Action taken by	Details of violation	Details of action taken E.g., fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE Limited	Non-compliance with disclosure of related party transactions on consolidated basis for September 2021.	Sought Clarification by BSE & applied the fine but Company has filed the clarification and after that BSE has withdrawn the penalty on 07.04.2022	The Company has filed the clarification in which mentioned that the Company has migrated on Main Board of BSE from SME platform on 08.11.2021. Therefore the Reg. 23(9) of SEBI (LODR), 2015 (Disclosure of Related Party Transaction) was not applicable to our Company for the half year ended as at 30.09.2021 as per Reg. 15(2)(b) of SEBI (LODR), 2015 which stipulates that the compliance of Reg. 23 shall not apply to Listed entity which has listed its specified securities on the SME Exchange.
2	Clarification sought by BSE Limited	Mr. Pawanjit Singh, Non-Executive Non Independent Director of the Company has traded during the trading window closure period which is in contravention of provisions of Para 4 of Schedule B, read with Regulation 9(1) and (2) of SEBI (PIT) Regulations 2015.	Sought Clarification by BSE. Details of Action taken by Company- a. Sought explanation in writing for the said violation; and b. Issued a warning against any future violation. c. Discharged from Audit committee and Stakeholder Relationship Committee.	Details of Action taken by Company- a. Sought explanation in writing for the said violation; and b. Issued a warning against any future violation. c. Discharged from Audit committee and Stakeholder Relationship Committee.



Anjum Goyal & Associates **Company Secretaries**

- (d) The listed entity has taken the following actions to comply with the observations made in previous Reports: **Not applicable for the year 2021 as the Company has listed on SME platform and migrated on 08.11.2021 on Main Board of BSE Limited.**
- e) Terms of appointment of the Statutory auditors have been suitably modified to give effect to clause 6(a) and 6(b) of SEBI Circular No. CIR/CFD/MD1/114/2019 dated October 18, 2019.

For Anjum Goyal & Associates
Company Secretaries



Anjum Goyal

Prop.

ACS No.16176 CP No.6211

UDIN: A016176D000401022

Place: Amritsar

Date : 26/05/2022