

## UTTAM SUGAR MILLS LIMITED

Corporate Office: A-2E, III Floor, CMA Tower, Sector-24, NOIDA-201 301 Uttar Pradesh, India Telephone: 0120-4525000 E-mail: uttamsugarnoida@gmail.com

April 14, 2022

National Stock Exchange of India Ltd. Listing Department

"Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051

Ref.:- Symbol - UTTAMSUGAR

BSE Limited Listing Department

P.J. Tower, Dalal Street, Mumbai – 400 001

Ref. - Scrip Code - 532729

<u>Sub:</u> Submission of Certificate under Regulation Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on 31st March, 2022

Dear Sirs,

Pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a Certificate under Regulation 40(9), issued by M/s. N. K. Rastogi & Associates, Company Secretary in Practice, New Delhi, for the year year ended  $31^{\rm st}$  March, 2022.

We hope you will find the same in order. You are requested to take above information on your records.

Thanking you.

Yours faithfully,

For Uttam Sugar Mills Ltd.

(Rajesh Garg)

Joint Company Secretary & Compliance Officer

Encl: As above

Registered Office: Village Libberheri, Tehsil Roorkee, Distt. Haridwar, Uttarakhand, India Website: www.uttamsugar.in, CIN No-L99999UR1993PLC032518

## N.K.RASTOGI & ASSOCIATES

Company Secretary in practice

03 SHANKAR VIHAR

2<sup>ND</sup> FLOOR VIKAS MARG

DELHI-110092

Phone-011 - 45201005

## CERTIFICATE UNDER REGULATION 40(9) SEBI (LODR) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s Uttam Sugar Mills Limited* maintained by *M/s Link Intime India Private Limited* pertaining to transfer of Equity Shares of the Company for the period from *01st April 2021* to 31<sup>ST</sup> MARCH 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 entered into by *M/s Uttam Sugar Mills Limited* with BSE / NSE and based on the information provided by the Company, I hereby certify that the Company has delivered during year ended on 31<sup>ST</sup> MARCH 2022.

- (A) Shares(s) relating to the transfer of shares received during the period from 01<sup>st</sup> April 2021 to 31<sup>ST</sup> MARCH 2022 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of the calls / allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- (B) Any other information, if applicable: NIL

For N.K. RASTOGI & ASSOCIATES

COMPANY SECRETARIES

NAVEEN KUMAR
RASTOGI

Topiano Signal Sy Novière Glade Noci Cole
- Principal Sy Novière Signal Se de l'America Palacise, i i Grant Palacise Signal Se del Signal Se

(NAVEEN K. RASTOGI) Proprietor

C.P. NO. 3785 Date: 11.04.2022 Place: Delhi

UDIN: F003685D000070554