SWARAJ ENGINES LIMITED

Works:

Plot No. 2, Indl. Focal Point, Phase-IX, S.A.S. Nagar Distt. S.A.S. Nagar (Mohali)

(Near Chandigarh)

Tel.: 0172-2234941-47, 2234950

02/SP/EXCH 23rd April, 2024

BSE Limited

Listing Department
P.J. Towers, 1st Floor,
Dalal Street, Fort,
Mumbai – 400 001

Email: corp.relations@bseindia.com

Scrip Code: 500407

National Stock Exchange of India Limited

Capital Market-Listing, Exchange Plaza, Bandra Kurla Complex,

Bandra (E).

Mumbai- 400051

Email: cmlist@nse.co.in

Scrip Name: SWARAJENG

SUB: Certificate from Practicing Company Secretary u/r 40(9) of SEBI (LODR) Regulation,

2015 for the financial year ended 31st March, 2024

Dear Sir,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certificate from M/s A. Arora & Co. Practicing Company Secretaries, for the financial year ended 31st March, 2024.

Kindly take the above information on your record.

With regards,

For Swaraj Engines Limited

(Rajesh K. Kapila) Company Secretary M.No.: ACS-9936

Encl: As above

Regd. Office: Industrial Area, Phase IV, S.A.S. Nagar, Mohali, Near Chandigarh-160 055 Tel.: 91-172-2271620-27, Fax: 91-172-2271307 & 2272731

Website: www.swarajenterprise.com CIN - L50210PB1985PLC006473 AJAY K. ARORA LL.B., FCS, IP GST: 04ADSPA8498H1Z3

A. ARORA & CO.

Company Secretaries

Insolvency Professional

S.C.O. 64-65, 1ST FLOOR, SECTOR 17-A, MADHYA MARG, CHANDIGARH-160 017

Ph.: (O) 2701906 MOBILE: 98140-06492

E-MAIL: ajaykcs@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31st MARCH, 2024

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Swaraj Engines Limited maintained by M/s. MCS Share Transfer Agent Limited, Srivenkatesh Bhawan, F-65, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi-110020 pertaining to transfer of equity shares of the Company for the period from 1st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

 During the financial year ended on 31st March, 2024, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange, except those rejected on technical grounds.

For A. Arora & Co. Company Secretaries

Ajay K Arora

FCS: 2191 C.P No.: 993

UDIN: F002191F000194790

Peer Review Cer No. 2120/2022

Date: 20.04.2024 Place : Chandigarh