



ALFRED HERBERT (INDIA) LTD.

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Telephone : 2226 8619, 2264 0106
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E-mail : kolkata@alfredherbert.com
Website : www.alfredherbert.co.in
CIN : L74999WB1919PLC003516

Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers, 25th Floor
Dalal Street
Mumbai 400 001

16th April'2021

Dear Sirs,

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9)
OF SEBI (LODR) REGULATIONS, 2015
OUR SCRIP CODE NO. 505216**

We enclose herewith Compliance Certificate under Regulation 40(9) dated 13th April'2021 issued by Ms. Sweety Kapoor- Practicing Company Secretary, for half-year ended 31st March'2021 for your information and record.

Kindly acknowledge receipt.

Yours faithfully,
For Alfred Herbert (India) Limited,

Shobhana Sethi
Company Secretary and Chief Financial Officer



Encl: as above

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH, 2021

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. Alfred Herbert (India) Limited ("the Company") maintained by M/s. Maheshwari Datamatics Pvt. Ltd. ("RTA") pertaining to transfer of Equity Shares and issue of Share Certificates of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that the during the half year ended on 31st March, 2021:

A) This clause relating to issue and delivery of share certificates relating to the share transfer deeds received during the period from 1st October, 2020 to 31st March, 2021 as entered in the memorandum of transfers within fifteen days from respective date of lodgement of each deed excepting those rejected on technical grounds, is **NOT APPLICABLE** as SEBI has prohibited Physical transfer of shares in case of Listed Companies. Further as informed to us Company and its RTA has not received any request for transfer of shares in physical mode during the period from 1st October, 2020 to 31st March, 2021.

Share Certificate(s) in respect of requests for transmission of shares have been issued within 21 days of lodgement.

and

B) The Company has issued all the certificates within 30 days for sub-division, consolidation, renewal, duplicate issue of share certificates, exchange or endorsement of calls/allotment monies, if any, during the period from 1st October, 2020 to 31st March, 2021, the same is **NOT APPLICABLE**, as there was NO request received for such instance during the half year ended 31st March, 2021.

Place: Kolkata
Date: 13-04-2021



S. Kapoor
(Sweety Kapoor)

Practising Company Secretary
FCS - 6410, COP - 5738
UDIN: F006410C000079274