



Ref: Syn/CS/PIT/SE/DEC 2020/01

**Syngene International Limited**

Biocon SEZ, Biocon Park, Plot No. 2 & 3,  
Bommasandra Industrial Area, IV Phase,  
Jigani Link Road, Bengaluru 560 099,  
Karnataka, India  
T +91 80 6775 8000  
F +91 80 6775 8808  
CIN: L85110KA1993PLC01493  
[www.syngeneintl.com](http://www.syngeneintl.com)

December 16, 2020

To, The Manager, BSE Limited Corporate Relationship Department Dalal Street, Mumbai – 400 001	To, The Manager, National Stock Exchange of India Limited Corporate Communication Department Bandra (EAST), Mumbai – 400 051
<b>Scrip Code: 539268</b>	<b>Scrip Symbol: SYNGENE</b>

Dear Sir/Madam,

**Subject: Reporting under SEBI (Prevention of Insider Trading) Regulations, 2015**  
**Re: Intimation of Violation under Insider Trading Code by a Designated Employee**

In terms of SEBI circular SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, please find attached as Annexure A, the report by the Company for violation on Code of Conduct for Prevention of Insider Trading under SEBI (Prevention of Insider Trading) Regulations, 2015.

We would like to submit that the reported deviation is not material in relation to the total paid up equity share capital of the Company.

You are requested to take this intimation on record.

Thanking you.

Yours faithfully,

For **SYNGENE INTERNATIONAL LIMITED**

\_\_\_\_\_  
Priyadarshini Mahapatra  
**Company Secretary & Compliance Officer**

**Encl: As above**

## Annexure A

### Report format by Syngene International Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/ Fiduciary	Syngene International Limited
2	Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	<p><b>A. Name of the Designated Person (DP)</b></p> <p>a. Name of the DP ii. PAN of the DP iii. Designation of DP iv. Functional Role of DP v. Whether DP is Promoter or belongs to Promoter Group</p> <p><b>B. If Reporting is for immediate relative of DP</b></p> <p>i. Name of the immediate relative of DP ii. PAN of the immediate relative of DP</p> <p><b>C. Details of transaction(s)</b></p> <p>i. Name of the scrip ii. No of shares traded and value (Rs.) (Date-wise)</p> <p><b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b></p> <p>i. Date of intimation of trade(s) by concerned DP/ director/ promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015 ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015</p>	<p>Santosh Chandgadkar ABMPC5326J Senior General Manager Quality Assurance No</p> <p>NA</p> <p>Syngene International Limited 50 shares purchased on October 22, 2020 @Rs. 527/- per share (Rs. 26,360/- in total) 50 shares sold on November 03, 2020 Rs. 549/- per share (Rs. 27,450/- in total) Not Applicable</p>
4	Details of violations observed under Code of Conduct	The Designated Person had purchased 50 shares on Thursday, October 22, 2020, from the market. This was the during the trading window closure period for disclosure of financial results, which was disclosed on October 21, 2020. He further sold the 50 shares on Tuesday, November 03, 2020 at a

# Syngene

		profit of Rs. 712.85, after considering the STT and additional charges. The above trading was in violation of the Syngene Insider Trading Code.																						
5	Action taken by Listed Company/ Intermediary/ Fiduciary	<ul style="list-style-type: none"> <li>Warning notice issued and the designated person was directed to attend a training on the Syngene Code of Prevention of Insider Trading</li> <li>Levied a penalty of Rs. 1,000/- to be paid in favour of SEBI- IPEF.</li> </ul>																						
6	Reasons recorded in writing for taking action stated above	This being the first instance of violation by the Employee, and considering the facts of the case and the explanation given by the Employee, the above actions were taken.																						
7	Details of the previous instances of violations, if any, since last financial year	None																						
8	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IPEF (Online/<del>Demand Draft</del>)</p> <p>ii. Details of transfer/payment In case of Online:</p> <p>In case of Demand Draft (DD):</p>	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Santosh Changadkar</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>HDFC Bank, Bangalore 00451610002286</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td><b>N351201343364478</b></td> </tr> <tr> <td>Transaction date</td> <td>December 16, 2020</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs. 1,000/-</td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Santosh Changadkar	Bank Name, branch and Account number	HDFC Bank, Bangalore 00451610002286	UTR/Transaction reference Number	<b>N351201343364478</b>	Transaction date	December 16, 2020	Transaction Amount (in Rs.)	Rs. 1,000/-	Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)	
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8	Any other relevant information	None																						

Yours faithfully,

**For Syngene International Limited**

Priyadarshini Mahapatra  
**Company Secretary & Compliance Officer**  
 PAN: AJJPM0077B  
 Email Id: [Priyadarshini.Mahapatra@syngeneintl.com](mailto:Priyadarshini.Mahapatra@syngeneintl.com)  
 Mobile Number: +91 96995 53081

Date: December 16, 2020  
 Place: Bengaluru