

October 9, 2019

BSE Limited
Department of Corporate Services
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort,
Mumbai 400001

National Stock Exchange of India Limited Exchange Plaza Plot No.C-1, G Block Bandra-Kurla Complex Bandra (East) Mumbai – 400 051

Security Code: 523405 Symbol: JMFINANCIL

Dear Sirs,

Re: Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Compliance Certificate for the half year ended September 30, 2019

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting the following certificates confirming the compliance of Regulation 7(2) of the said Regulations in connection with the maintenance of the Company's physical and electronic share transfer facilities.

- 1. Compliance Certificate of the Company for the half year ended September 30, 2019.
- 2. Compliance Certificate as received from Karvy Fintech Private Limited, our Registrar and Share Transfer Agent for the half year ended September 30, 2019.

Kindly acknowledge receipt.

Thank you.

Yours faithfully, for JM Financial Limited

PK-Ands.

N Choksi

Group Head – Compliance, Legal & Company Secretary



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BSE Limited Department of Corporate Services 1st Floor, New Trading Ring Rotunda Building, P J Towers Dalal Street, Fort, Mumbai 400001

National Stock Exchange of India Limited Exchange Plaza Plot No.C-1, G Block Bandra-Kurla Complex Bandra (East) Mumbai - 400 051

Security Code: 523405

Symbol: JMFINANCIL

Dear Sirs,

Re: Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Compliance Certificate for the half year September 30, 2019

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that during the half year ended September 30, 2019, all activities in relation to the Company's physical and electronic share transfer facility were maintained by the Karvy Fintech Private Limited, Category I Registrar and Share Transfer Agent.

Kindly acknowledge receipt.

Thank you.

Yours faithfully, for JM Financial Limited

P K Choksi Group Head – Compliance, Legal

& Company Secretary

Pr. Luck

T: +91 22 6630 3030 F: +91 22 6630 3223 www.jmfl.com



October 5, 2019

The Secretary
BSE Limited
PhirozeJeejebhoy Towers,
Dalai Street,
Mumbai- 400001

National Stock Exchange of India Limited

Exchange plaza, 5th Floor Plot no. C/1, G-Block, BandraKurla Complex, Bandra (East) Mumbai- 400051

Sub:Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half financial year ended September 30, 2019.

Dear Sir(s),

JM Financial Limited has appointed Karvy Fintech Private Limited, having registration number INR000000221 as Registrar and Share Transfer Agent for handling share transfers and allied matters.

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that for the half financial year ended on September 30, 2019 all the activities in relation to both physical and Electronic share transfer facilities for JM Financial Limited are maintained by Karvy Fintech Private Limited (having CIN U72400TG2017PTC117649) having its registered office at Karvy House, 46, Avenue 4, Street No. 1, Banjara Hills, Hyderabad – 500034.

Thanking you,

Yours faithfully,

For Karvy Fintech Pvt. Ltd

Thakuk Birender

Deputy General Manager

Karvy Fintech Private Limited (KFPL)

(Formerly known as KCPL Advisory Services Private Limited)