

RAIL:SEC:2020

October 16, 2020

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400001 Scrip Code - 520008	National Stock Exchange of India Limited Exchange Plaza, 5 th Floor, Plot No.C/1, G Block Bandra-Kurla Complex Bandra (E) Mumbai - 400051 Scrip Code - RICOAUTO
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Sub : **Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Please find enclosed herewith a copy of Compliance Certificate issued by K.K. Sachdeva & Associates, Company Secretaries in practice for the period from 1st April, 2020 to 30th September, 2020.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,
for **Rico Auto Industries Limited**



B.M. Jhamb
Company Secretary
FCS : 2446

Encl : As above

K.K.SACHDEVA & ASSOCIATES
COMPANY SECRETARIES
201, 3RD FLOOR, DR. MUKHERJEE NAGAR, DELHI-110 009
E-mail: sachdevakk@hotmail.com, Ph. 011-40394670, 98110 71577

Date: 16.10.2020

TO WHOMSOEVER IT MAY CONCERN

I have examined all share transfer deeds, memorandum of transfer, relevant books, papers, registers and documents of M/s. **Rico Auto Industries Limited** having its Registered Office at 38 K.M. Stone, Delhi-Jaipur Highway, Gurugram, Haryana-122001 maintained by M/s. **MCS Share Transfer Agent Limited**, Registrar and Transfer Agent having its office at F-65, 1st Floor, Phase-1, Okhla Industrial Area, New Delhi-110020 for the purpose of issuing certificate to the Company under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, in respect of effecting transfer, sub-division, consolidation, renewal, exchange, replacement or endorsement etc. of shares received by the Company during the six months period from **1st April, 2020 to 30th September, 2020** and based on my such examination as well as information and explanations given to me by the Company, which to the best of my knowledge and belief were necessary for the purpose, **I hereby report and certify that:**

- a. There was no case of transfer/ transmission, transposition of securities, deletion of name, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies during the period from **1st April, 2020 to 30th September, 2020**.
- b. Any other information: NIL

For K.K.SACHDEVA & ASSOCIATES
COMPANY SECRETARIES



K.K.SACHDEVA
Membership# FCS7153
CP# 4721
UDIN F007153B000966859