



बैंक ऑफ महाराष्ट्र  
Bank of Maharashtra  
भारत सरकार का उद्यम  
एक परिवार एक बैंक



AX1/ISD/STEX/Compl/2023-24

Date: 28.04.2023

The General Manager,  
Department of Corporate Services,  
BSE Ltd.,  
P.J. Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

The Vice President,  
Listing Department,  
National Stock Exchange of India Ltd,  
Exchange Plaza, Bandra Kurla Complex,  
Bandra East,  
Mumbai - 400 051

BSE Scrip code: 532525

NSE Symbol: MAHABANK-EQ

Dear Sir/ Madam,

**Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended 31.03.2023.**

Pursuant to Regulation 40 (9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find the enclosed copy of certificate dated 27.04.2023 issued by Practicing Company Secretary for the financial year ended 31.03.2023.

Please acknowledge the receipt of the same.

Thanking you.

Yours faithfully,  
For **Bank of Maharashtra**

(Nehal Rawat)  
Company Secretary & Compliance Officer

Encl: As above

**CERTIFICATE UNDER REGULATION 40(9) OF**  
**SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND**  
**DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

**FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **BANK OF MAHARASHTRA** having Registered Office at Lokmangal, 1501, Shivajinagar, Pune - 411005 maintained by MCS Share Transfer Agent Limited, Registrar and Transfer Agents, having office at 3B3 3<sup>rd</sup> Floor Gundecha, Onclave, Kherani Road, Sakinaka Andheri (E), Mumbai - 400072 pertaining to transfer of Equity Shares of the Bank of Maharashtra for the period starting from April 01, 2022 to March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, by Bank of Maharashtra and based on the information provided by the Bank, hereby certify that, the Bank has delivered during the year ended March 31, 2023:

- (A) Share Certificate(s), relating to Share Transfer Form(s), received during the period from April 01, 2022 to March 31, 2023 as entered in Memorandum of Transfers and/or transmission have been issued within thirty days from the respective date of lodgement of each from excepting those rejected on technical grounds;
- (B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within thirty days of lodgement.
- (C) Any other information if applicable: Pursuant to Regulations 40(9), 40(10) and 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, since all Bonds issued by Bank of Maharashtra are in **demat** form, no physical Bond certificates were required to



be delivered for the Financial Year ended March 31, 2023.

For Joshi & Joshi,  
Company Secretaries,  
UIN: P2009MH020200



Raghavendra J Joshi  
Partner  
FCS 4478, CP 8774  
UDIN: F009897E000207952  
Date: April 27, 2023  
Place: Pune