

ND/SY/ 3505

April 12, 2021

Bombay Stock Exchange Limited  
New Trading Ring,  
Rotunda Building, P J Towers, Dalal  
Street, Fort Mumbai-400001  
Scrip Code: 500097

National Stock Exchange of India Limited  
“Exchange Plaza”, Plot No. C-1, Block G  
Bandra – Kurla Complex, Bandra (East),  
Mumbai – 400 051  
Symbol: DALMIASUG

Re: Certificate from Practicing Company Secretary

Dear Sir(s),

Pursuant to Regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, please find attached herewith the certificate from Savita Jyoti Associates, Practicing Company Secretaries, for the half year ended March 31, 2021.

Thanking you,

Yours faithfully

For **Dalmia Bharat Sugar and Industries Limited**

*Aashhima V Khanna*

**(Aashhima V Khanna)**  
**Company Secretary**  
Membership No. : A34517

Encl: As above

cc: Share Department, Dalmiapuram – For Information

CS. SAVITA JYOTI, B.Com., F.C.S.

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD**  
**OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,**  
**2015 FOR THE HALF YEAR PERIOD ENDED MARCH 31, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **DALMIA BHARAT SUGAR AND INDUSTRIES LIMITED** having its registered office at Dalmia Puram, Dist Tiruchirapalli, Tamil Nadu – 621 651 maintained by **KFin Technologies Private Limited**, Registrar and Share Transfer Agents of the Company, pertaining to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of Equity shares of the Company for the period from **October 1, 2020 to March 31, 2021**, for the purpose of issuing a certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and the Registrar and Share Transfer Agents, I, hereby, certify as follows, for the half-year period ended March 31, 2021:-

- A) As per the records made available to me, no request(s) relating to the transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies of shares is received during the half-year period from October 1, 2020 to March 31, 2021.

Date: 12/04/2021  
Place: Hyderabad

**SAVITA JYOTI, FCS 3738**  
Practicing Company Secretary  
Certificate of Practice No. 1796

SAVITA JYOTI ASSOCIATES

*Savita Jyoti*

CS. SAVITA JYOTI  
Membership No.: 3738  
CP No.:1796  
UDIN: F003738C000052919