



April 9, 2021

✓ **Corporate Relationship Department**  
**BSE Limited**  
Phiroze Jeejeebhoy Towers, Dalal Street,  
Fort, Mumbai 400001

**Capital Markets-Listing**  
**National Stock Exchange of India Ltd**  
Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)**

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated April 9, 2021, from M/s. Pramod Shah & Associates, Practicing Company Secretaries, for the Half-Year ended March 31, 2021.

This is for your information and records.

Thanking you,

Yours faithfully,  
For **Prime Securities Limited**

  
  
**Ajay Shah**  
**Company Secretary**  
(ACS-14359)

PRAMOD S. SHAH AND ASSOCIATES  
PRACTISING COMPANY SECRETARIES

Address: 3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P D' Mello Road, Opp. St.George Hospital,  
Near CST, Mumbai-400 001.

Cell No.: 99308 99047/ 99875 26217; Tel No.: +91 22 22717700/ +91 22 22701040;

Email Id: [pramodshah361@gmail.com](mailto:pramodshah361@gmail.com); Website: [www.cspso.co.in](http://www.cspso.co.in)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

**FOR THE YEAR ENDED MARCH 31, 2021**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March, 2021**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has not received any request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** and the certificates for the same has been issued within thirty days from respective date of lodgment.

PRAMOD SHANTILA L SHAH Digitally signed  
by PRAMOD SHANTILA L SHAH  
Date: 2021.04.09  
18:53:42 +05'30'

For Pramod S. Shah & Associates

Pramod S. Shah - Partner

Membership No.: 334 (FCS)

C. P. No.: 3804

UDIN: F000334C000038878

Date: April 9, 2021

Place: Mumbai