

AXIS/CO/CS/323/2020-21

15<sup>th</sup> October 2020

Shri Kautuk Upadhyay  
The Chief Manager,  
Listing & Compliance Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, "G" Block  
Bandra-Kurla Complex  
Bandra (E), Mumbai – 400 051

Shri Khushro Bulsara  
The Deputy General Manager –  
Listing Department  
BSE Limited  
1<sup>st</sup> Floor, New Trading Ring, Rotunda Building  
P. J. Towers, Dalal Street  
Fort, Mumbai – 400 001

NSE Symbol: AXISBANK

BSE Scrip Code : 532215

Dear Sirs,

**SUB : CERTIFICATE UNDER REGULATION 40(10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS") FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER 2020- AXIS BANK LIMITED**

Pursuant to the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we enclose herewith the a certificate from a practicing company secretary certifying that all certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies for the half year ended 30<sup>th</sup> September 2020.

You are requested to take the above on record.

Yours faithfully,  
**For Axis Bank Limited**

**Girish V. Koliyote**  
**Company Secretary**

Encl.: As above



**Ahalada Rao. V & Associates  
Company Secretaries**

Off. : Flat No. 113, Block-B, Sri Datta Sai Commercial Complex,  
Opp. Sathagiri Theatre, RTC 'X' Roads, Hyderabad-20.  
Telefax : +91 40-66623101, 77022 27041  
E-mail : ahaladarao.associates@gmail.com  
Website : www.ahaladaraoassociates.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020:**

We, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **AXIS BANK LIMITED** (CIN:L65110GJ1993PLC020769) maintained by **KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) (U72400TG2017PTC117649)**, pertaining to transfer of Equity Shares of the Bank for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Axis Bank Limited and hereby certify that the Axis Bank Limited has delivered during Half Year ended on 30<sup>th</sup> September, 2020:

A) Share Certificates lodged with the Axis Bank Limited for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment of each deed excepting those rejected on technical grounds.

B) Any other information, if applicable – **Not Applicable**

**For Ahalada Rao. V & Associates  
Company Secretaries**

Depuru  
Raghavendra  
r Rao

Digitally signed by  
Depuru Raghavendar  
Rao  
Date: 2020.10.14  
21:11:06 +05'30'

**CS Raghavendar Rao.D**

**Partner**

**M No: A35788, C.P. No: 13407**

**UDIN: A035788B000953452**

**Place: Hyderabad**

**Date: 14.10.2020**

R/AXIS/40(9)  
09<sup>th</sup> Oct, 2020

THE COMPANY SECRETARY  
AXIS BANK LIMITED  
TRISHUL,3RD FLOOR,OPP.SAMARTHESHWAR  
TEMPLE, LAW GARDEN,ELISBRIDGE  
AHMEDABAD - 380006

Dear Sir,

SUB : CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATION, 2015

We certify that :

- A. Share Certificate relating to the Share Transfer Deeds received during the period from **01.04.2020 to 30.09.2020** as entered in the Memorandum of Transfers have been issued within one month from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Share Certificates in respect of requests received during the period from **01.04.2020 to 30.09.2020** for exchange of duplicate, split, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies have been issued within one month of lodgment provided all the required documents are in order.

Thanking you,

Yours faithfully,  
for KFIN TECHNOLOGIES PRIVATE LTD



Raj Kumar Kale

Senior Manager

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649