

Ref: MSSL/006/2021-2022

April 7, 2021

The Deputy General Manager – Listing,
The Stock Exchange, Mumbai,
Phiroze Jeejeebhoy Towers, Dalal Street,
Mumbai-400 001.

Dear Sir,

Re: Certificate under Clause 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015

As required under Clause 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, we hereby enclose the Certificate obtained by us from a Practicing Company Secretary for the half year ended March 31, 2021.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,
For MODERN SHARES AND STOCKBROKERS LIMITED


Anil S Manghnani
Whole Time Director

DIN No : 00012806

Ref No. AJ/MSSL/Reg. 40(9)/21-22/001

To,
The Board of Directors,
Modern Shares & Stockbrokers Limited,
(CIN: L45200MH1939PLC002958)
Wankhede Stadium, North Stand,
Staircase No. 13, D' Road,
Churchgate, Mumbai 400020.

Dear Sirs,

Sub: Compliance Certificate Under Regulation 40(9) Of SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015

I have examined all the relevant papers, documents, registers, forms, files and other records produced before me relating to **Modern Shares & Stockbrokers Limited**, ('the Company') as maintained by its Registrar and Share Transfer Agent **Link Intime India Private Limited**, and based on such examination as well as information and explanations furnished to me which to the best of my knowledge and belief were necessary for the purpose of issuing this certificate for the half year ended **31st March, 2021** as required under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015, I hereby certify that

- i) No request was received relating to the transfer, transmission, sub-division /consolidation/duplicate/deletion of name/objection of Equity Shares Certificate(s).
- ii) No requirement for renewal, exchange or endorsement of calls/allotment monies during this period.

FOR ANIL JANI & COMPANY
COMPANY SECRETARIES

Place: MUMBAI
Date: 07/04/2021

PROPRIETOR
(C.P.NO.: 9154)
UDIN No. F002506C000030930