

Monday, October 16, 2023

To,

BSE Limited 1 st Floor, New Trading Ring, Rotunda Building, P.J. Towers, Dalal Street, Fort, Mumbai-400001 Scrip Code: 500418	National Stock Exchange Of India Limited Exchange Plaza, C-1, Block G, BandraKurla Complex, Bandra (E), Mumbai – 400 051 NSE Symbol: TOKYOPLAST
---	--

Sub: Reporting of Violation of Company's Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Dear Sir/Madam,

This is to inform you, that in terms of the SEBI Circular No. SEBI/ HO/ ISD/ISD/CIR/ P/2020/ 135 dated July 23, 2020, the details of violation of the Company's code of conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015 by the member of the Promoter group along with the action taken by the Company thereon is enclosed to this letter.

Kindly take the same on record.

Thanking you,

For Tokyo Plast International Limited

Rekha Bagda
Company Secretary

TOKYO PLAST *International Ltd.*

Annexure A

Report by Tokyo Plast International Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Schedule B read with Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No	Particulars	Details
1	Name of the listed company/intermediary/Fiduciary	Tokyo Plast International Limited
2	Please tick appropriate checkbox Reporting in capacity of : <ul style="list-style-type: none"> ▪ Listed Company ▪ Intermediary ▪ Fiduciary 	Listed Company
3A.Details of Designated Person(DP)		
	i) Name of the DP	Ms. Drashti Nandu
	ii) PAN of the DP	AFFPN1832B
	iii) Designation of DP	Member of Promoter Group
	iv) Functional Role of DP	Not Applicable
	v) Whether DP is promoter or belongs to Promoter Group	Yes
B. If Reporting is for immediate relative of DP		
	i) Name of the immediate relative of DP	Priyaj Haresh Shah
	ii) PAN of the immediate relative of DP	GYDPS2854B
C. Details of Transaction(s)		
	i) Name of the scrip	TOKYOPLAST
	ii) No of shares traded and value (Rs.) (Date- wise)	Sale of 1591 equity shares at Rs.110.02/- per share aggregating to Rs.1,75,042/- (Rupees One Lakh Seventy Five Thousand Forty Two) on 6 th October, 2023
D. In case value trade(s) is more than Rs. 10 Lacs in a calendar		
	i) Date of intimation of trade(s) by concerned DP/ director/promoter group to under regulation 7 of SEBI(PIT) Regulations,2015	07 th October, 2023.
	ii) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	07 th October, 2023.
4	Details of violations observed under Code of Conduct	Violation of Clause 5 of the Code of Conduct. Execution of Trade during window closure period.
5.	Action taken by Listed Company/ intermediary/Fiduciary	The Company has initiated Disciplinary Action, imposed 5% Penalty on Transaction Value and issued Warning letter. The matter was placed before Audit committee Meeting held on 14 th October,2023 The matter was discussed by the Audit committee and in view of the volume of trade

TOKYO PLAST *International Ltd.*

		and clarifications received regarding the trading being inadvertent, the committee has advised to exercise due diligence in compliance with all regulatory matters.
6	Reasons recorded in writing for taking action stated above	Clarification received that the transaction was carried out of inadvertence and assurance was provided that in future due care will be taken while undertaking any trade and shall be in compliance with regulatory matters.
7	Details of the previous instances of violation, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI – IEPF (Online /Demand Draft) ii. Details of transfer/payment	Online NEFT
	In case of Online : Particulars	Details
	Name of the transferor	Shah Priyaj Haresh
	Bank Name , branch and Account Number	Federal Bank, Bandra West, 13980100044237
	UTR/Transaction reference Number	FDRLH23289112400
	Transaction date	16/10/2023
	Transaction Amount (in Rs.)	Rs. 8,757/-
	In case of Demand Draft (DD):	
	Particulars	Details
	Bank Name and branch	NA
	DD Number	
	DD date	
	DD amount (in Rs.)	
9.	Any other relevant information	NA