

**Ref. No.: AUSFB/SEC/2023-24/382**

**Date: March 19, 2024**

To,

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
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Dear Sir/Madam,

**Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform that a penalty of Rs. 10,000 is imposed on the Bank by Issue department, Mumbai Regional Office of the Reserve Bank of India on account of "Cash-out" in one of the Bank's ATM.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given in the enclosed **Annexure - I**.

This is for your information and records.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)

**Annexure – I**

**Details required under Regulation 30 of the Listing Regulations read with  
SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023**

<b>Sr. No.</b>	<b>Details of events that need to be provided</b>	<b>Information of such event(s)</b>
1.	Name of the authority	Issue department, Mumbai Regional Office of the Reserve Bank of India
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Imposition of a monetary penalty of Rs. 10,000/-
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	March 19, 2024
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed;	On account of "Cash-out" in one of the Bank's ATM
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 10,000/-

**Registered Office**

AU SMALL FINANCE BANK LIMITED  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur- 302001, Rajasthan, India  
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CIN: L36911RJ1996PLC011381