



मनोहर बलवानी
मुख्य महाप्रबंधक एवं कम्पनी सचिव
MANOHAR BALWANI
CGM & Company Secretary

पावर फाइनेंस कार्पोरेशन लिमिटेड
POWER FINANCE CORPORATION LTD.
(भारत सरकार का उपक्रम) (A Govt. of India Undertaking)

No:1:05:138:I:CS

Dated: 13.11.2020

<p>National Stock Exchange of India Limited, Listing Department, Exchange Plaza, Bandra – Kurla Complex, Bandra (E) MUMBAI – 400 051.</p> <p>नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड लिस्टिंग विभाग, एक्सचेंज प्लाजा, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पू), मुंबई-400 051</p>	<p>Bombay Stock Exchange Limited, Department of Corporate Services, Floor – 25, PJ Towers, Dalal Street, MUMBAI – 400 001.</p> <p>बंबई स्टॉक एक्सचेंज लिमिटेड, कॉर्पोरेट सेवाएं विभाग, मंजिल-25, पी.जे. टावर्स, दलाल स्ट्रीट, मुंबई-400 001</p>
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Sub: Purchase of equity shares of Power Finance Corporation Ltd. by Director (Commercial), during period of closure of Trading Window.

Dear Sir/Madam,

This is with reference to our letter dated September 29, 2020 and November 3, 2020 *inter-alia* intimating about closure of trading window for dealing in the securities of Power Finance Corporation Limited from October 1, 2020 to November 14 2020; in line with the SEBI (Prohibition of Insider Trading Regulations), 2015 [SEBI PIT Regulations] and “Code of Practices & Procedures for Fair Disclosure of Unpublished Price Sensitive Information and Conduct for Regulating, Monitoring & Reporting of Trading in the Securities of Power Finance Corporation Limited”.

In this regard, Shri Praveen Kumar Singh, Director (Commercial) of the Company, vide his letter dated October 19, 2020 (enclosed as **Annexure I**) has informed that he has inadvertently purchased 5,000 equity shares of Power Finance Corporation Limited on October 5, 2020, during the trading window closure period.

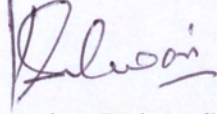
The above matter was considered at the Meeting of Board of Directors held on November 12, 2020 wherein the Board decided that Shri P K Singh, Director (Commercial) should dispose the 5,000 equity shares of Power Finance Corporation Limited, purchased by him on October 5, 2020 during the period of closure of Trading Window immediately but not later than 7 days and the profit, if any, arising out of the said transaction including by way of any corporate action be remitted to Power Finance Corporation Limited, for remitting the same to SEBI for credit to IEPF. The letter issued to Shri P K Singh, Director (Commercial) communicating the decision of the Board is enclosed **Annexure II**.

Further, the disclosure as prescribed vide SEBI Circular dated July 23, 2020 is enclosed herewith as **Annexure III**. The details of transfer of funds to SEBI (Investor Protection and Education Fund) will be furnished, once the shares are sold by Shri P K Singh Director (Commercial) & profit earned on the transaction, transferred by him to Company.

This is for your kind information and dissemination.

Thanking You,

Yours truly,
By Order of the Board of Directors



(Manohar Balwani)
CGM & Company Secretary

Copy to:

N. Sunil,
Deputy General Manager
E-mail: nsunil@sebi.gov.in

मनोहर बलवानी/MANO HAR BALWANI
मुख्य महाप्रबन्धक एवं कंपनी सचिव
CGM & Company Secretary (A-11117)
पावर फाइनेंस कॉर्पोरेशन लिमिटेड
Power Finance Corporation Limited
"ऊर्जा निधि", 1, बाराखंबा लेन, कनॉट प्लेस,
"URJANIDHI", 1, Barakhamba Lane, Connaught Place,
नई दिल्ली - 110001 / New Delhi-110001
ई-मेल : एमबी@पीएफसीइंडिया.कॉम/E-mail:mb@pfcindia.com

ANNEXURE - I

Date: 19/10/2020

**The Compliance Officer
Power Finance Corporation Limited
New Delhi**

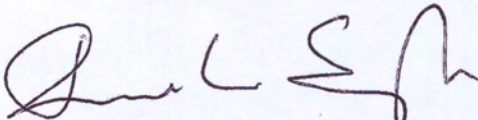
Dear Sir,

This is to inform that inadvertently I have purchased 5000 nos. of shares of PFC Limited on 05/10/2020 i.e., during the closure of trading window period. My DP Statement is enclosed for your information and record.

You are requested to inform me about the further course of action in this regard.

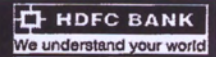
Thanking you,

Yours faithfully,



**(Praveen Kumar Singh)
Director (Commercial)**

HDFC Bank Depository Transaction Details



DP Transaction Report from :01/10/2020 to 20/10/2020

Business Date : 19/10/2020

DP ID : IN301549 DP Account No. 15835878

PRAVEEN KUMAR SINGH
C/9/9844 VASANT KUNJ
NEW DELHI - 110070

ISIN	Account Type	Date	Reference No.	Description	Market Type / Description	Settle	Counter Party Details	Debit	Credit
INE134E01011	POWER FINANCE CORPORATION LTD.-EQ								
	Free Balance	07/10/2020	81000041427257	A/c to A/c Rcpt	13 NORMAL	2020191	IN511084 0000000000000		5000.000
INE020B01018	REC LIMITED-EQ								
	Free Balance	07/10/2020	81000041428564	A/c to A/c Rcpt	13 NORMAL	2020191	IN511084 0000000000000		2500.000

Authorised Signatory

HDFC Bank Limited



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MANOHAR BALWANI
CGM & Company Secretary

पावर फाइनेंस कार्पोरेशन लिमिटेड
POWER FINANCE CORPORATION LTD.
(भारत सरकार का उपक्रम) (A Govt. of India Undertaking)

Dated: 13.11.2020

To,

Shri P. K. Singh,
Director (Commercial)
UrjaNidhi,
1, Barakhamba Lane,
New Delhi – 110001.

Sub.: Purchase of equity shares of Power Finance Corporation Limited, during period of closure of trading window.

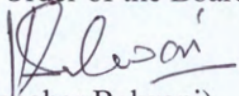
Sir,

With reference to your communication dtd. 19.10.20 on the above cited subject, it is to inform that the matter was considered at the meeting of Board of Directors of Power Finance Corporation Limited held on 12th November, 2020, wherein the Board decided that you should dispose the 5,000 shares of Power Finance Corporation Limited, purchased by you on October 5, 2020 during the period of closure of Trading Window immediately but not later than 7 days and the profit, if any, arising out of the said transaction including by way of any corporate action be remitted to Power Finance Corporation Limited, for remitting the same to SEBI for credit to IEPF.

Further while we are filing the report with Stock Exchange(s) in compliance with PIT Regulations you are requested to comply with the above directions of the Board of Directors.

Thanking You,

Yours truly,
By Order of the Board of Directors

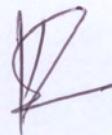

(Manohar Balwani)
CGM & Company Secretary

मनोहर बलवानी/MANO HAR BALWANI
मुख्य महाप्रबंधक एवं कम्पनी सचिव
CGM & Company Secretary (A-11117)
पावर फाइनेंस कार्पोरेशन लिमिटेड
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"URJANIDHI", 1, Barakhamba Lane, Connaught Place,
नई दिल्ली-110001/New Delhi-110001
ई-मेल : एमबी@पीएफसीइंडिया.कॉम/E-mail:mb@pfcindia.com

Report by Power Finance Corporation Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

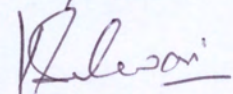
For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company	Power Finance Corporation Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : Listed Company	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Sh. Praveen Kumar Singh
	ii. PAN of the DP	
	iii. Designation of DP	Director(Commercial)
	iv. Functional Role of DP	Director (Commercial)
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	PFC-equity
	ii. No of shares traded and value (Rs.) (Date- wise)	Purchased 5000 equity shares of PFC on 05.10.2020 for a total of Rs. 4,37,700/-
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	19.10.2020
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	13.11.2020
4	Details of violations observed under Code of Conduct	Purchased during the trading window closure period 

5	Action taken by Listed company/ Intermediary/ Fiduciary	The above matter was considered at the Meeting of Board of Directors held on November 12, 2020 wherein the Board decided that Shri P K Singh, Director (Commercial) should dispose the 5,000 equity shares of Power Finance Corporation Limited, purchased by him on October 5, 2020 during the period of closure of Trading Window immediately but not later than 7 days and the profit, if any, arising out of the said transaction including by way of any corporate action be remitted to Power Finance Corporation Limited, for remitting the same to SEBI for credit to IEPF.										
6	Reasons recorded in writing for taking action stated above											
7	Details of the previous instances of violations, if any, since last financial year	Nil										
8	If any amount collected for Code of Conduct violation(s)	The profit made on squaring the transaction and the corporate benefits (if any) disgorged shall be remitted to SEBI-IPEF										
	i. Mode of transfer to SEBI – IPEF (Online/Demand Draft)											
	ii. Details of transfer/payment In case of Online: In case of Demand Draft (DD):											
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)		
Particulars	Details											
Bank Name and branch												
DD Number												
DD date												
DD amount (in Rs.)												
9	Any other relevant information											

Date: 13.11.2020
Place: New Delhi

Yours faithfully,
For Power Finance Corporation Ltd



Manohar Balwani

Name and Signature of Compliance Officer
PAN: AAAPB3961D
Email ID: mb@pfcindia.com