

KEN FINANCIAL SERVICES LIMITED

101, Shah Arcade, A Wing, Shah Arcade SRA CHS Ltd., Rani Sati Marg,
Near W. E. Highway, Malad (East), Mumbai - 400 097.
Ph. No. 022-28881720, Email: kenfsl@rediffmail.com, Website: ken-fin.com
(CIN- L65990MH1994PLC078898)

Date: 26th April, 2021

To,
BSE Limited
Department of Corporate Service
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001.

Ref: Security Code - 530547

Sub: Submission of Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2021.


Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2021.

Kindly take the same on your record.

Thanking you.

Yours faithfully,
For Ken Financial Services Limited


Akash Swami
DIN: 06938405
Whole-time Director



Encl: as above

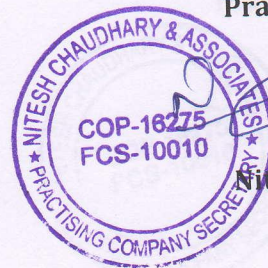


**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF-YEAR ENDED 31st MARCH, 2021

- (a) I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Ken Financial Services Limited CIN-L65990MH1994PLC078898 maintained by M/s Purva Sharegistry (India) Pvt. Limited (Registrar & Transfer Agent of the said company)**, Pertaining to transfer of equity shares of the company for the period from **01st October, 2020 to 31st March, 2021** for the purpose of issuing a Compliance Certificate as per **Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended on **31st March, 2021**:
- A. The Company has not received any request for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from **01st October, 2020 to 31st March, 2021 as per the MIS Report received from Company and its RTA for the purpose of Audit.**
- B. Share Certificate(s) relating to the transfer of Shares received during the period from **01st October, 2020 to 31st March, 2021**. as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds (**not applicable as the Company has not received any request of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares**).

For Nitesh Chaudhary & Associates
Practising Company Secretary



Nitesh Chaudhary
Nitesh Chaudhary, Proprietor
Membership FCS 10010
COP NO 16275
UDIN: F010010C000173316

Date: 24/04/2021

Place: Mumbai

Place: Mumbai