

To,  
Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, G Block,  
Bandra Kurla Complex,  
Bandra (East), Mumbai - 400 051.

Department of Corporate Service  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001.

**Symbol: ANGELBRKG**

**Scrip Code: 543235**

**Sub: Disclosure under Regulation 30 of the SEBI (Listing and other Disclosure Requirements) Regulations, 2015 ("LODR Regulations").**

**Re: Settlement Application filed by the Company in relation to the Show Cause Notice dated October 09, 2018 ("2018 SCN") issued under Regulation 25 of the SEBI (Intermediaries) Regulations, 2008 and Show Cause Notice dated October 31, 2015 ("2015 SCN") issued under the SEBI (Procedure or Holding Enquiry and Imposing Penalties by Adjudicating Officer Rules, 1995.**

This refers to our intimation dated January 14, 2021 with respect to the Settlement Application which has been disclosed by the Company in its Prospectus dated September 26, 2020, as being pending.

In view of the above it is further informed that the Company has received adjudication order on April 29, 2021 from SEBI in relation Show Cause Notice dated October 31, 2015 ("2015 SCN") stating that adjudication proceedings initiated against the Company vide 2015 SCN has been disposed of.

The Notice dated October 09, 2018 ("2018 SCN") issued under Regulation 25 of the SEBI (Intermediaries) Regulations, 2008 is still pending. The Company is seeking legal advice and will take appropriate action in the matter.

The above is for your information please.

Thanking you,  
For **Angel Broking Limited**

---

**Naheed Patel**  
**Company Secretary and Compliance Officer**  
**Membership No: A22506**

**Date: April 30, 2021**  
**Place: Mumbai**

**CSO & Corporate Office:**

6th Floor, Akruti Star, Central Road,  
MIDC, Andheri (E) Mumbai-400 093.  
Tel: (022) 40003600 | Fax: (022)  
39357699

**Regd Office:**

G-1, Akruti Trade Centre, MIDC, Road  
No-7, Andheri (E), Mumbai - 400 093.  
Tel: (022) 68070100 | Fax: (022)  
68070107  
E-mail: [support@angelbroking.com](mailto:support@angelbroking.com),  
Website: [www.angelbroking.com](http://www.angelbroking.com)

**Angel Broking Limited**

CIN: L67120MH1996PLC101709  
SEBI Registration No Stock Broker: INZ000161534,  
CDSL: IN-DP-384-2018, PMS: INP000001546, Research  
Analyst: INH000000164, Investment Advisor:  
INA000008172, AMFI Regn. No. ARN-77404, PFRDA,  
Regn. No.-19092018.