

Ref No.: LIL/REG7/SE/CS/2021-22

Date: 08.04.2021

The National Stock Exchange of India Limited Listing & Compliance Department Exchange Plaza, C-1 Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400051	BSE Limited Listing & Compliance Department Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001
Symbol: LUMAXIND	Security Code: 517206

Subject: Submission of Compliance Certificate in terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021

Dear Sir/Ma'am,

In terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance Certificate duly signed by both the Compliance Officer of the Company and the Authorised Representative of our Registrar & Share Transfer Agent i.e. KFin Technologies Private Limited for the period from October 1, 2020 to March 31, 2021.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,

For **LUMAX INDUSTRIES LIMITED**


PANKAJ MAHENDRU
COMPANY SECRETARY
M.NO. A-28161



Encl: as stated above



COMPLIANCE CERTIFICATE

HALF YEAR ENDED MARCH 31, 2021

THIS IS TO CERTIFY that, **Kfin Technologies Pvt. Ltd.** is providing Registry and Share Transfer services to **Lumax Industries Limited** and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFPL:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For Kfin Technologies Pvt. Ltd.

Authorized Signatory

Place : Hyderabad

Date : 5th April, 2021

For Lumax Industries Limited

Pankaj Mahendru

Company Secretary & Compliance Officer

Place : Gurugram

Date : 08th April, 2021

Kfin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

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