

Ref: - SSFB/CS/05/2022-23

Date: April 12, 2022

To,
National Stock Exchange of India Limited
Listing Department
Exchange Plaza,
C-1, Block G,
Bandra Kurla Complex,
Bandra (E)
Mumbai —400051

BSE Limited
The Corporate Relationship Department
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai — 400 001

Symbol: SURYODAY

Scrip Code: 543279

Dear Sir/Madam,

Sub.: Submission of Certificate under Regulations 40(9) read with 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended for the year ended March 31, 2022

In accordance with Regulations 40(9) and 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended, we hereby submit the certificate dated April 12, 2022 for the year ended March 31, 2022 received from Mr. Tushar Shridharani, Practicing Company Secretary (F.C.S. 2690 & C.P. No. 2190).

Kindly take the same on record.

Thanking You
For Suryoday Small Finance Bank Limited

Geeta Krishnan
Company Secretary and Compliance Officer
Encl: As above

SURYODAY SMALL FINANCE BANK LIMITED

Reg. & Corp. off: 1101, Sharda Terraces, Plot. 65, Sector 11, CBD Belapur, Navi Mumbai: 400614 Tel: 022-40435800

E Mail: info@suryodaybank.com / **Web:** www.suryodaybank.com **CIN:** L65923MH2008PLC261472 / **GSTIN NO:** 27AAMCS5499J1ZG

TUSHAR SHRIDHARANI

B. Com., LL.B., F.C.S.

Tel. : 022-7963 3947
Email : tushar@tusharshri.com

10, New Marine Lines
417, Jolly Bhavan No. 1
Mumbai – 400 020

TO WHOMSOEVER IT MAY CONCERN

I have examined relevant records for the period April 1, 2021 to March 31, 2022 ("**Audit Period**"); maintained by KFin Technologies Limited (Formerly known as KFin Technologies Private Limited), the Registrar and Transfer Agent ("**R & T Agent**") of Suryoday Small Finance Bank Limited ("**the Bank**") about its listed equity shares ("**securities**").

Based on my examination, explanations given to me and in pursuance of requirement of sub-clause (9) and (11) of Regulation 40 in Chapter IV of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 read with SEBI Notification dated May 5, 2021 and January 24, 2022 and SEBI Circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022; I hereby certify that during the Audit Period; no event of the following descriptions, took place and accordingly a question of issue of securities within thirty days from the date of lodgement, by the R & T Agent does not arise.

- a) transfer of securities;
- b) sub-division; consolidation, renewal, exchange or endorsement of calls/allotment monies;
- c) deletion of name of the deceased holder(s) of securities, where the securities are held in the name of two or more holders of securities;
- d) transmission of securities to the legal heir(s), where deceased holder of securities was the sole holder of securities;
- e) transposition of securities, when there is a change in the order of names in which physical securities are held jointly in the names of two or more holders of securities.

Place: Mumbai
Date: April 12, 2022

(Tushar Shridharani)
Practising Company Secretary
F.C.S. 2690 & C.P No.: 2190
UDIN - F002690D000086147