

April 22, 2022

General Manager,  
Department of Corporate Services,  
BSE Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai 400 001

Dear Sir,

Compliance with the requirements of Regulation 40 (9) & (10)  
of Securities Exchange Board of India (Listing Obligations and  
Disclosure Requirements) Regulations, 2015

In compliance with the requirements of Regulation 40 (9) & (10) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith certificate dated April 22, 2022 issued by P.P.Shah & Co., Company Secretaries under sub-regulation (9) of Regulation 40 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on March 31, 2022.

Kindly acknowledge receipt.

Yours faithfully,  
For FORBES & COMPANY LIMITED

  
(Pankaj Khattar)  
Head Legal and Company Secretary

Encl:

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015**

**FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined all relevant Registers, files and other documents relating to *M/s. Forbes & Company Limited* having its Registered Office situated at Forbes' Building, Charanjit Rai Marg, Fort, Mumbai – 400 001 maintained by *M/s. TSR Darashaw Consultants Private Limited*, Registrar & Share Transfer Agents (RTA) having its office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai: 400 083 pertaining to transfer of equity shares (transfer deeds of which were lodged prior to 1<sup>st</sup> April, 2019 and returned due to deficiency and re-lodged during the financial year ended 31<sup>st</sup> March, 2022) of the Company for the period from *01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022* for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company / RTA, I hereby certify that the Company / RTA has delivered *during the financial year ended on 31<sup>st</sup> March, 2022*:

- A) (i) There were no instances for transfer of shares during the period from *01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022* and hence there is no need to enter the same in the Memorandum of Transfers and Share Certificate(s) relating to requests for transmission of shares received during the aforesaid period have been issued within the prescribed time after receipt of the specified documents.
- (ii) Issue of Duplicate share certificate during the period from *01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022* has been issued within the prescribed time from the date of lodgment as stipulated under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015. Further, during the period there was no split, consolidation, exchange or endorsement of calls / allotment monies.

B) *Any other information, if applicable: Not Applicable*

The Equity shares of the Company are listed on BSE Limited.

Date: 22<sup>nd</sup> April, 2022  
Place: Mumbai



For P. P. SHAH & CO.

*Pradip C. Shah*

**PRADIP C. SHAH**  
Practising Company Secretary  
Partner

Membership No: 1483  
Certificate of Practice: 436  
UDIN: F001483D000183951