



Date: 20th May, 2022

To,
The Manager (CRD),
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001

Scrip Code: 508954

Sub: Annual Secretarial Compliance Report under Reg. 24A of SEBI (LODR) Regulations, 2015.

Dear Sir,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, read with SEBI circular no. CIR/CFD/CMD1/27/2019 dated 8 February 2019, we hereby submit the Annual Secretarial Compliance Report of the Company for the year ended 31st March, 2022 issued by M/s. Mayank Arora & Co., Company Secretaries.

You are requested to kindly take the same on record.

Thanking you.

For Finkurve Financial Services Limited



Sunny Parekh
Company Secretary & Compliance Officer
Membership No.: ACS: 32611

Arvog
FINANCE

Finkurve Financial Services Limited
CIN: L65990MH1984PLC032403

Registered Office: 202/A, 02nd Floor, Trade World, D - Wing, Kamala Mills Compound, S.B. Marg, Lower Parel (west), Mumbai - 400013, INDIA
Tel: +91 224 2441200 | Email: mail@arvog.com / finkurvefinancial@gmail.com | Web: www.arvog.com

MAYANK ARORA & Co.

COMPANY SECRETARIES

Secretarial Compliance Report of Finkurve Financial Services Limited for the Financial Year ended March 31, 2022

I, Mayank Arora, Practicing Company Secretary, proprietor of M/s. Mayank Arora & Co., Company Secretaries have examined:

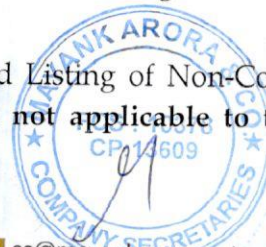
- (a) all the documents and records made available to us and explanation provided by **M/s. Finkurve Financial Services Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 - **not applicable to the Company during the period under review;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **not applicable to the Company during the period under review;**



(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996 and Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

And circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

(a) The listed entity has generally complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 17(1)(c) of SEBI (LODR) Regulations, 2015 - Board of Director	The board of Director of the top 1000 listed entities shall comprise of not less than six Directors.	<p>The numbers of Directors were less than six from 1st April 2021 till 19th May 2021. The Company was in constant search for the prospective candidate for the position of Independent Director during such period and the Company was able to appoint the Director on 19th May, 2021.</p> <p>Further, due to the resignation of one of the Independent Director w.e.f. 9th March 2022, the Board of the Company currently comprises of less than six Directors and the Company is in process to fill such intermittent vacancy.</p>
2.	Regulation 30 - Disclosure of events or information Schedule III Part A: Disclosures Of Events Or Information: Specified Securities	In case of Resignation of Independent Director of the listed entity the disclosure to the stock exchange shall be made within seven days from the date of	Mr. Rakesh Mehta (Independent Director) resigned w.e.f. 09 th March 2022, however, the Company made disclosure to the Stock Exchange on

	resignation.	30 th March 2022 after accepting the resignation letter in the Board Meeting held on 30 th March, 2022.
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- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) Action taken against the listed entity/its promoters/Director/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder : NIL
- (d) The reporting of actions by the listed entity to comply with the observations made in previous reports does not arise during the Review Period,

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2022	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	According to Regulation 47 SEBI (Listing Obligations and Disclosure Requirements) Regulations. The Statements of deviation(s) or variation(s) as specified in sub-regulation (1) of regulation 32 on quarterly basis, after review by audit committee and its explanation in Director report in annual report; shall be published in at least one English language national daily newspaper circulating in the whole or substantially the whole of India and in one daily newspaper published in the language of the region, where the registered office of the listed entity is situated and	The Company has done the required newspaper publication during the year under review.	The Company complied with the said regulation.	The Company has complied with the said Compliance in the reporting Financial year.



shall also be submitted simultaneously to the stock Exchange.			
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(e) Further I report that BSE Ltd. has sought clarification from the Company on 25th May, 2021 with reference to the significant movement in price of the shares of the Company.

In this regard, the Company has given a justified explanation vide its letter dated 25th May, 2021.

Date: 20/05/2022

Place: Mumbai

UDIN: F010378D000356451



For Mayank Arora & Co.
Company Secretaries

Mayank Arora

Proprietor

C.P. No. 13609

PR No. 679/2020