

# KEYNOTE

25  
YEARS  
OF CREATING  
VALUE  
THROUGH  
RELATIONSHIPS  
AND  
TRUST

Ref # Key19/Stock Exchange Let/Sm (69)

23<sup>rd</sup> October, 2019

The Manager  
BSE Limited,  
Listing Department,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001

The Manager  
National Stock Exchange of India Ltd.  
Listing Department,  
Exchange Plaza, C-1, Block – G,  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400 051

Scrip Code / Name of Scrip: 512597/KEYCORPSE

Dear Sir,

**Reg: Submission of Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

With reference to the captioned matter, please find enclosed herewith Compliance Certificate for the period 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 issued by M/s. Uma Lodha & Co., Company Secretaries, Mumbai, as required in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please acknowledge the receipt of the same.

Thanking you and assuring you of our best co-operation at all times.

Yours sincerely,

For KEYNOTE FINANCIAL SERVICES LIMITED

  
Uday S. Patil  
Director



Encl : as above

**Keynote Financial Services Limited**

(formerly known as Keynote Corporate Services Limited)

The Ruby, 9th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400028

Tel.: 91 22 6826 6000 • Fax: 91 22 6826 6088 Email: info@keynoteindia.net • Website: www.keynoteindia.net

CIN-L67120MH1993PLC072407

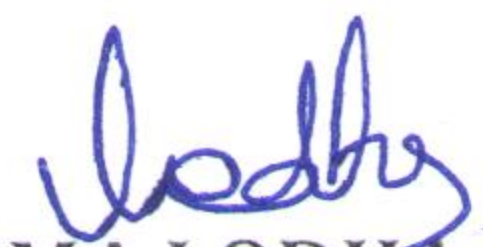
**CERTIFICATE UNDER REGULATION 40(9) UNDER SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS), REGULATIONS 2015 FOR HALF YEAR ENDED  
30<sup>th</sup> SEPTEMBER, 2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Keynote Financial Services Limited** maintained by Link Intime India Private Limited pertaining to transfer of Equity Shares of the company for the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing Certificate as per Regulation 40(9) under SEBI (Listing Obligation and Disclosure Requirements), Regulation 2015 entered into by Keynote Financial Services Limited with BSE Limited (BSE) and National Stock Exchange of India Limited (NSE).

Based on the aforesaid information, I hereby certify that Link Intime India Private Limited has delivered during half year ended on 30<sup>th</sup> September, 2019, all Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 as entered in the Memorandum of Transfers within thirty days of the date of lodgment for transfer excepting those rejected on technical grounds.

For Uma Lodha & Co.  
Practicing Company Secretaries

Place: Mumbai  
Date: 22<sup>nd</sup> October, 2019

  
UMA LODHA  
Proprietor  
C.P. No. 2593

UDIN No. F005363A000140245

