



RIDDHI CORPORATE SERVICES LIMITED

ISO 9001:2015, 27001:2013 & CMMI Level 3 Certified Company

CIN: L74140GJ2010PLC62548

Date: 31st July, 2020

To,
Manager,
Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers, Dala Street
Mumbai - 400001
Scrip Code: - 540590

Reference: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019 read with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015


Subject: - Annual Secretarial Compliance Report for the Financial Year ended March, 2020

With reference to above captioned subject, we would like to submit herewith Annual Secretarial Compliance Report of the Company for financial year ended March 31, 2020, issued by Amrish Gandhi & Associates., Practicing Company Secretaries. This is for your information and record.

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Thanking You

Yours Faithfully
For Riddhi Corporate Services Limited


Parth Pandya
Company Secretary
Membership No. A44807

Enclosure: - As Above



Secretarial Compliance Report of RIDDHI CORPORATE SERVICES LIMITED For the year ended 31st March, 2020

We, Amrish Gandhi & Associates, Company Secretaries in Practice have examined:

- (a) All the documents and records made available to us and explanation provided by **RIDDHI CORPORATE SERVICES LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) other document/filing, as may be relevant, which has been relied upon to make this certification,

For Period Commencing on 1st October, 2019 to 31st March, 2020 for the Second Half of the Financial Year 2019-2020 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;



(e) The Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations, 2009;

(f) Other regulations as applicable and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period :

(a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines /including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
None			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder;

Sr. No.	Action taken by	Details of violation	Details of action e.g. fines, warning letter, debarment etc.	Observations/remarks of the Practicing Company Secretary, if any
None				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: Not Applicable.

**For Amrish Gandhi & Associates
Company Secretaries**



**Amrish Gandhi
Proprietor**

FCS No: 8193

COP No: 5656

UDIN: F008193B000568995

Place: Ahmedabad

Date: 31st July, 2020