

SW INVESTMENTS LIMITED

Regd. Office: 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057.

CIN No.:L65990MH1980PLC02333 Tel: +22 4287 7800 Fax: +22 4287 7890

Email Id: cosec@swlindia.com.Website: www.swlindia.com

SWIL/BSE/24/19-20

Date: 18th October, 2019

To
Corporate Relation Department
BSE Limited
P. J. Tower, Dalal Street,
Mumbai – 400 001
Scrip Code: 503659

Sub: Submission of Half yearly Compliance Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2019

Sir,

Please find attached herewith Half Yearly Compliance Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2019.

Request you to take the same on record and acknowledge the receipt of the same.

Thanking You,

For SW Investments Limited



Sapna Patel
Company Secretary
Encl: as above



Veeraraghavan.N
Practicing Company Secretary
B.Sc., LLB., A.C.S.

First Maritime Private Limited
201 Gheewala Building
Opp. New India Co-op Bank Limited
M.P. Road, Mulund – East
Mumbai - 400081
Mob: 9821528844
Email : nvr54@rediffmail.com

**CERTIFICATE UNDER REGULATION 40 OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015 (EARLIER CLAUSE 47 OF LISTING
AGREEMENT)**

FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. SW Investments Limited maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (earlier Clause 47(C) of the Listing Agreement) entered into by M/s. SW Investments Limited with BSE Ltd. and based on the information provided by the Company, I hereby certify that the Company has during half year ended on 30th September, 2019 not received any application / document for registration of transfer, subdivision, consolidation during the aforementioned period.



Veeraraghavan. N

C. P .No. 4334

UDIN: A006911A000112995



Date: 18th October, 2019

Place: Mumbai