



# Vaswani Industries Limited

POWER • SPONGE IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AAABCV9564E1ZB

VIL/SECRETARIAL/2020-21

9<sup>th</sup> April, 2021

BY LISTING PORTAL

National Stock Exchange of India Ltd, Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400051	Bombay Stock Exchange Ltd., Market Operations Dept. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001
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Dear Sir(s),

**REF: CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015**

With reference to the above caption, we hereby submit the Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 issued from Satish Batra & Associates LLP, Company Secretaries for the half year ended 31<sup>st</sup> March, 2021.

This is for your information and records.

Thanking You,  
Yours Faithfully

For Vaswani Industries Limited  
For, Vaswani Industries Ltd

(Yashwant Vaswani) *Yashwant Vaswani* Director.

Whole Time Director

DIN: 01627408

Add: Vaswani Vatika,

Opposite Gaurav Garden,

VIP Road, Amlidih, Raipur

Chhattisgarh 492001

98931-26091, 0771-4048130  
Email: satsihbatra40@yahoo.co.in

**SATISH BATRA & ASSOCIATES LLP**  
COMPANY SECRETARIES

2<sup>nd</sup> floor, Ashoka Plaza, Ashoka Ratan,  
Vidhan Sabha Marg, Shankar Nagar,  
Raipur, (C. G.) 492007

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2021.**

On the basis of confirmation received from **LINK INTIME INDIA PRIVATE LIMITED**, Registrar & Share Transfer Agent of **VASWANI INDUSTRIES LIMITED** (CIN: L28939CT2003PLC015964) the Company, we hereby certify that, there was no request for transfer, sub-division, consolidation, renewal, endorsement, Transposition & Transmission has been received by the Company during the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.**

This certificate has been issued under Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulation, 2015.

**For Satish Batra & Associates LLP,**  
Company Secretaries



**Satish Kumar Batra**  
Designated Partner  
FCS No.: 1316,  
C.P. No. 2875

UDIN No: F001316C000031863

Date: 9<sup>th</sup> April, 2021  
Place: Raipur (C. G.)

Date- 06.04.2021

To,  
Company Secretary  
**Vaswani Industries Limited**

Subject: Confirmation on Regulation 40(9)

Dear Sir / Madam,

We are the Registrar and Share Transfer Agent of **Vaswani Industries Limited**

This is to certify that we have not received and processed any Transfer, Transmission and Name deletion request from the period 01.10.2020 to 31.03.2021

This is for your information.

Thanking You!

For Link Intime India Pvt. Limited



Authorised Signatory