



October 14, 2019

To  
Dy. Manager  
BSE Ltd.  
Department of Corporate Services,  
P. J. Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

Sub.: Submission of Certificate under Regulation 40 (9) of SEBI (LODR) Regulations, 2015

**Scrip Code: 540192**

Enclosed please find the Certificate for the half year ended as on June 30, 2019 issued by Practicing Company Secretary, M/s V.R.Associates under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure requirements) Regulation, 2015 for complying with Issuance of Certificates for Transfers, Endorsements, etc. within prescribed time limit and there is no arrears on account of the same.

Kindly take the same on your record.

Thanking you,

Yours faithfully,  
For LKP Securities Limited

S. S. Gulati  
Director  
Company Secretary  
Encl: a/a

## LKP Securities Ltd.

Regd Off : 203 Embassy Centre, Nariman Point Mumbai - 400021. Phone : 022 - 2282 8234, Fax : 022 - 2284 2415  
Head Off : 1303 - 04, 13th Floor, Raheja Centre, Free Press Journal Marg, Nariman Point, Mumbai - 400021  
Tel.: +91 22 6635 1234 • Fax : +91 22 6635 1249 • Website : www.lkpsec.com,  
Single SEBI registration number for NSE/BSE/MSEI: INZ000216033 ARN 31751 DPIN-CDSL-206-2003  
CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ

# V. R. ASSOCIATES

COMPANY SECRETARIES

## CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

We have examined the relevant books, registers, forms, documents and records of LKP Securities Limited (the company), maintained and produced before us by Adroit Corporate Services Pvt. Ltd., their Registrar & Transfer Agents, in respect of share transfers lodged for registration during the half year ended 30<sup>th</sup> September, 2019 for the purpose of issuing the certificate under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

Based on our examination as well as information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purposes of certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended on 30<sup>th</sup> September, 2019, delivered all certificates duly endorsed within the period stipulated under the Listing Regulations. During the period under review, there were 3 share transfers for 4200 shares, 1 share transmission for 600 shares and 1 name change for 600 shares, and the share certificates were dispatched within the stipulated period.

For V.R. Associates  
Company Secretaries

*V. Ramachandran*

V. Ramachandran  
C.P. No. 4731



Place: Mumbai

Date: 14/10/2019

UDIN: A007731A000067615

Resi.:

G5/3, Jal Padma, Bangur Nagar,  
Goregaon (W), Mumbai 400 104.

Admn. Office:

31, Topiwala Center,  
Goregaon (W), Mumbai 400 062.

Tel.: 022 2877 4306

Mobile: +91 98214 47548

E-mail: cs.ram25@gmail.com

GST Reg. No. : 27ACSPV8251A127 • PAN : ACSPV8251A