

April 24, 2023

The Manager  
Corporate Relationship Department  
**BSE Limited**  
1<sup>st</sup> Floor, New Trading Wing,  
Rotunda Building,  
P J Towers, Dalal Street, Fort,  
Mumbai - 400001

**BSE Security Code: 500043**

The Manager  
Listing Department  
**National Stock Exchange of India Limited**  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. C-1, Block G,  
Bandra Kurla Complex, Bandra (E),  
Mumbai - 400051

**NSE Symbol: BATAINDIA**

The Secretary  
**The Calcutta Stock Exchange  
Limited**  
7, Lyons Range,  
Kolkata - 700001

**CSE Scrip Code: 10000003**

Dear Sir/Madam,

**Subject: Submission of Certificate in compliance with Regulations 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) (the "SEBI Listing Regulations")**

In compliance with Regulation 40(10) of the SEBI Listing Regulations, we are submitting Certificate dated April 24, 2023 issued by M/s. S. M. Gupta & Co., Company Secretaries, for the financial year ended March 31, 2023, in terms of Regulation 40(9) of the SEBI Listing Regulations.

We request you to take the same on record.

Thanking you,

Yours faithfully,

**For BATA INDIA LIMITED**

**NITIN BAGARIA**

*Company Secretary & Compliance Officer*

**Encl.: As above**

**BATA INDIA LIMITED**

CIN: L19201WB1931PLC007261

Registered Office: 27B, Camac Street, 1<sup>st</sup> Floor, Kolkata-700016, West Bengal || Tel.: (033) 23014400 || Fax: (033) 22895748

E-mail: in-customer.service@bata.com || Website: www.bata.in

Email : smguptaandco@yahoo.com  
smguptaandco@gmail.com  
Website: www.smguptaandco.com

Offi. :2236 1385,2236 7135  
Mobile :98300 57568

**S. M.GUPTA & CO.**  
**COMPANY SECRETARIES**  
**P-15 BENTINCK STREET, CALCUTTA-700 001**

Ref. No.....

Dated.....

**CERTIFICATE**  
**FOR THE FINANCIAL YEAR ENDED 31.03.2023**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. BATA INDIA LIMITED (CIN: L19201WB1931PLC007261)** maintained by them and their Share Transfer Agents M/s. Link Intime India Pvt. Limited from the start date of shifting/ transition and M/s. R & D Infotech Pvt. Limited upto the date of shifting / transition pertaining to transfer of equity shares of the company for the Financial year ended 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of electronic mode, we hereby certify that the Company has generally issued during the above financial year:-

- A) Share Certificate(s)/Letter(s) of Confirmation in lieu thereof relating to valid Share Transfer Deeds (re-lodgement cases) sub-division, consolidation and renewal received during the financial year ended 31<sup>st</sup> March, 2023 as entered in the said Registers within thirty days from respective dates of lodgement of such deeds excepting those rejected on technical grounds.
- B) Share Certificate(s)/Letter(s) of confirmation with respect to valid requests for exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgement.

Place : Kolkata  
Date : 24.04.2023

For S. M. Gupta & Co.  
Company Secretaries  
Firm Registration No.: S1993WB816800



S. M. Gupta  
Proprietor

Membership Number: FCS-896

C. P. No: 2053

Peer Review: 2464/2022

**UDIN: F000896E000176478**