



Ref: IMFA\SHR\BSE\21\  
DT: 12/10/2021

IMFA Building  
Bhubaneswar -751010  
Odisha, India

Corporate Identity No.  
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The Deputy General Manager  
Corporate Services Dept.  
Bombay Stock Exchange Ltd  
1<sup>st</sup> Floor, P J Towers  
Dalal Street, Fort  
Mumbai – 110002.

National Stock Exchange of India Ltd.  
Exchange Plaza,  
Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Bandra (E), Mumbai - 400 051

Sub: Submission of Certificate Under Regulation 40(9) and 40(10) of SEBI (Listing and Disclosure Requirements) Regulations, 2015, as on 30<sup>th</sup> September, 2021.

Dear Sir,

Pursuant to Regulation 40(10) of SEBI (Listing and Disclosure Requirements) Regulations, 2015, enclosed please find herewith certificate issued by M/S Sunita Jyotirmoy and Associates, Company Secretaries under Regulation 40(9) of SEBI (Listing and Disclosure Requirements) Regulations, 2015 as on 30<sup>th</sup> September, 2021.

This is for your kind information and record.

Thanking you

Yours faithfully,  
For Indian Metals & Ferro Alloys Ltd

  
(Prem Khandelwal)  
C F O & Company Secretary

# SUNITA JYOTIRMOY & ASSOCIATES

COMPANY SECRETARIES

(Formerly Known as Sunita Mohanty & Associates)

House No.-8, Gangadhar Meher Nagar, Opposite o Pal Heights Mall, Jaydev Vihar, Bhubaneswar-751013  
Ph. : 9437272604, 9437255625, Email : secretarial@sunitamohantyandassociates.com

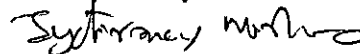
**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATION AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to "INDIAN METALS AND FERRO ALLOYS LIMITED" maintained "IN HOUSE" pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half-year ended on 30<sup>th</sup> September, 2021 :

- A. Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- However, as per the information provided by the Registrar & Share Transfer Agent (RTA) the company and verification of records, no transfer request has been received during the aforesaid period.

- B. Any other information – None

For Sunita Jyotirmoy & Associates



Jyotirmoy Mishra, F.C.S.

CP-6022, PARTNER

Place- Bhubaneswar

Date- 08/10/2021

UDIN : F006556C001118887