## PARIKH & ASSOCIATES COMPANY SECRETARIES

## Office

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## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2020.

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to INGERSOLL-RAND (INDIA) LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A) 1.a. The Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates relating to the transfer deeds lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment.
- 1. b. The Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates in respect of requests relating to transmission of securities, deletion of name and renewal received during the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March, 2020, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.
- B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation.

For Parikh & Associates

Place: Mumbai Date: May 06, 2020

P. N. Parikh FCS: 327 CP: 1228 UDIN: F000327B000206313