

Annexure A

Report by Alkyl Amines Chemicals Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details	
1	Name of the listed company/ Intermediary/Fiduciary	Alkyl Amines Chemicals Limited	
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : Listed Company <input checked="" type="checkbox"/> Intermediary Fiduciary	Listed Company	
3	A. Details of Designated Person (DP)		
	i.	Name of the DP	Mr. Premal Kapadia
	ii.	PAN of the DP	AABPK8336L
	iii.	Designation of DP	Non-Executive Non-Independent Director
	iv.	Functional Role of DP	Mr. Premal Kapadia is a Non-Executive Non-Independent Director of the Company.
	v.	Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP		
	i.	Name of the immediate relative of DP	NA
	ii.	PAN of the immediate relative of DP	NA
	C. Details of transaction(s)		
	i.	Name of the scrip	Equity shares of Alkyl Amines Chemicals Ltd.
	ii.	No. of shares traded and value (Rs.) (Date- wise)	23 (Twenty three) shares sold at Rs. 1,09,625/- on 07/01/2021
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter		
	i.	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company	NA

		under regulation 7 of SEBI (PIT) Regulations, 2015									
	ii.	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA								
4	Details of violations observed under Code of Conduct		Shares sold during trading window closure period								
5	Action taken by Listed company/ Intermediary/ Fiduciary		The decision will be taken at the Audit Committee meeting scheduled on February 2, 2021 and will be subsequently reported to the Stock Exchange.								
6	Reasons recorded in writing for taking action stated above		Mr. Premal Kapadia has confirmed that his PMS service provider sold the shares inadvertently. He has put trading freeze on the shares of the Company he is holding. He will ensure that he does not violate the regulations in future even for his investments through Portfolio Management Schemes. This transaction was an inadvertent mistake and not intentional.								
7	Details of the previous instances of violations, if any, since last financial year		None								
8	If any amount collected for Code of Conduct violation(s)										
	i. Mode of transfer to SEBI – IPEF (Online/Demand Draft)		Decision will be taken at the Audit Committee meeting scheduled on February 2, 2021 and will be subsequently reported to the Stock Exchange.								
	ii. Details of transfer/payment In case of Online: In case of Demand Draft (DD):		Decision will be taken at the Audit Committee meeting scheduled on February 2, 2021 and will be subsequently reported to the Stock Exchange.								
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> </tbody> </table>			Particulars	Details	Bank Name and branch		DD Number		DD date	
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