



Dated: 13<sup>th</sup> October, 2020

To

Manager Listing Department <b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code : 533344	Manager Listing Department <b>National Stock Exchange of India Limited</b> Exchange Plaza, C-1, Block G, Bandra- Kurla Complex, Bandra (East), Mumbai- 51 Scrip Code : PFS
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**Subject: Compliances certificate as per Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations 2015**

We attach herewith a compliance certificate as per regulation 7(3) of the above mentioned regulations, for the half year ended on 30<sup>th</sup> September, 2020 for FY 2020-2021.

We request you to take the documents on record.

Thanking You,

**For PTC India Financial Services Limited**

  
**Vishal Goyal**  
(Company Secretary)



Enclosed : as above

**PTC India Financial Services Ltd. (CIN: L65999DL2006PLC153373)**

(A subsidiary of PTC India Limited)

**Registered Office:** 7th Floor, Telephone Exchange Building, 8 Bhikaji Cama Place, New Delhi - 110 066, India

Board: +91 11 26737300 / 26737400 Fax: 26737373 / 26737374, Website: [www.ptcfinancial.com](http://www.ptcfinancial.com), E-mail: [info@ptcfinancial.com](mailto:info@ptcfinancial.com)

## COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to PTC INDIA FINANCIAL SERVICES LTD and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories .

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES PRIVATE LIMITED



Raj Kumar Kale  
Senior Manager  
Place : Hyderabad  
Date :10/10/2020



Vishal Goyal  
Company Secretary  
PTC India Financial Services Limited  
7th Floor, Telephone Exchange Building  
1, Chitkoti Cama Place, New Delhi-110067