

# DALAL STREET INVESTMENTS LIMITED

Regd Office: 301, Chintamani Apartment, 1478, Sadashiv Peth, Pune-411030.  
Mumbai Office: 6C, Sindhu House, Nanabhai Lane, Flora Fountain, Fort, Mum-400001.  
[Tel:22024555](tel:22024555), [Email id:info@dalalstreetinvestments.com](mailto:info@dalalstreetinvestments.com),  
CIN No:-L65990PN1977PLC141282, [www.dalalstreetinvestments.com](http://www.dalalstreetinvestments.com)

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DSIL/OUTWARD/2019-20/33

October 17th, 2019

"By Mail"

**Corporate Relationship Department**  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001  
Fax No. 022-22723121/3027/2039/2061  
**Security Code: 501148, Security ID : DSINVEST**

Dear Sir/Ma'am,

**Re: ISIN - INE506Z01015**  
**Sub: Compliance of Regulation 40(10) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 ("SEBI (LODR) Regulations, 2015"), please find enclosed herewith a Certificate for the half year ended September 30, 2019 for compliance of Regulation 40(9) SEBI (LODR) Regulations, 2015, duly certified by a Practicing Company Secretary.

You are requested to kindly take the same on your record.

Thanking You.

Yours faithfully,  
for **DALAL STREET INVESTMENTS LIMITED**

  
  
**MR. MURZASH MANEKSHANA**  
**DIRECTOR**

Encl.: As above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> September, 2019**

I have examined Memorandum of Transfers, Registers, files and other documents relating to **DALAL STREET INVESTMENTS LIMITED** maintained by **UNIVERSAL CAPITAL SECURITIES PRIVATE LIMITED** pertaining to transfer of Equity Shares of the Company for the period from 1<sup>st</sup> April 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), 2015 and based on the information provided by the Company.

I hereby certify that during the said half year ended on 30<sup>th</sup> September, 2019:

- A) No Physical Share Certificate(s) relating to the transfer of Shares were received.
- B) No request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies was received.
- C) Any other information, if applicable : NIL

**SVP & ASSOCIATES,  
Shridhar Phadke, PCS**



**C.P. No. 18622**

**F.C.S. 7867**

**UDIN : F007867A000077332**

**Date: 15/10/2019**

**Place: Pune**

