

Online Submission

October 18, 2019

To,
The National Stock Exchange of India Limited
Listing Department,
Exchange Plaza,
Bandra Kurla Complex
Bandra East,
Mumbai - 400 051
Fax Nos.:26598237 / 26598238

To,
BSE Limited
Listing Department,
Phiroze Jeejebhoy Towers,
Dalal Street,
Mumbai - 400 001
Fax Nos.:22723121/2037/2039

Ref: Scrip Code: BSE – 532748/ NSE - PFOCUS

Sub: Regulation 40(9) and 40(10) – Certificate for Half Year ended September 30, 2019

Dear Sir/ Madam,

Pursuant to the provisions of Regulation 40(9) and 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, kindly find enclosed the Certificate from a practicing company secretary for half year ended September 30, 2019.

Kindly take the same on record and acknowledge the receipt.

Thanking you.

Yours Faithfully,
For **Prime Focus Limited**

Parina Shah
Parina Shah
Company Secretary



Encl.: a/a

S. N. ANANTHASUBRAMANIAN & CO.

COMPANY SECRETARIES

FIRM REGISTRATION NO. P1991MH040400

10/26, BRINDABAN, THANE – 400601 | Tel 25345648/25432704 | Email sna@snaco.net | Website www.snaco.net

CERTIFICATE UNDER REGULATION 40 (9) OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015


FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2019

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Prime Focus Limited** bearing **CIN:L92100MH1997PLC108981** (ISIN INE367G01038) and having its registered office at Prime Focus House, Opp. CITI Bank, Linking Road, Khar (West), Mumbai – 400 052 (hereinafter referred to as the Company) and maintained by **Link Intime India Private Limited**, the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from **1st April, 2019** to **30th September, 2019** (review period) for the purpose of issuing a Certificate under Clause 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date and the Listing Agreement entered into by the Company with **BSE Limited** and **National Stock Exchange of India Limited**.

Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

No requests for transfer/ consolidation/ renewal/ sub-division/exchange or endorsement of calls/allotment monies were received by the Company during the period under review.

For S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

Aklyad


Aparna Gadgil
Partner
ACS : 14713
COP. NO : 8430

16th October, 2019

Thane

ICSI UDIN: A014713A000091889