

Escorts Finance Limited

15/5, Mathura Road, Faridabad -121003 (HR)

Phone : 0129-2250222, 2564222

E-mail : escortsfinance@escorts.co.in

Website : www.escortsfinance.com

CIN : L65910CH1987PLC033652



October 29, 2020

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400051

Scrip Code: 511716

Dear Sirs,

Reg: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In Compliance with Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith Compliance Certificate dated October 29, 2020 for the half year ended September 30, 2020 duly signed by Mr. Umesh Kumar (CP No. 8361) of M/s Umesh Kumar & Associates, Company Secretaries confirming the compliance of the said Regulation.

Kindly take the same on record.

Thanking you.

Yours faithfully,

For **Escorts Finance Limited**

A handwritten signature in black ink, appearing to read 'Vicky'.

Vicky Chauhan
Company Secretary

Encl: As above



UMESH KUMAR & ASSOCIATES
COMPANY SECRETARIES

Office No. 201, Sagar Plaza-II, 2nd Floor, Plot No. 27,
Behind M2K Cinema, DDA Complex, Near Rani Bagh,
Road No. 44, Pitampura, New Delhi-110034
Ph.: +91-9990137410, +91-9999550383
E-mail : csumesh07@gmail.com
GST No. 07APOP1480P1Z7

To,

**The Board of Directors,
Escorts Finance Limited
SCO-64-65, Third Floor
Sector-17-A
Chandigarh-160 017**

Dear Sirs,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited** maintained by **M/s. Alankit Assignments Limited** pertaining to transfer of equity shares of the company for the period from **01/04/2020 to 30/09/2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the revised Listing Agreement which was entered into by **Escorts Finance Limited** with Bombay Stock Exchange (BSE) (The Stock Exchange) dated March 1, 2016 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ending on **30th September, 2020**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01/04/2020 to 30/09/2020** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer from respective date of lodgment of each deed.
- B) There was no request for Name deletion/transmission/Change of name.
- C) There was no request for issue of duplicate shares certificates.
- D) There was no request for consolidation/split of shares.
- E) There are no case for sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money.

Place: New Delhi
Date: 29.10.2020

For **UMESH KUMAR & ASSOCIATES**
COMPANY SECRETARIES



CS UMESH KUMAR
M. No. 21567 C.P No- 8361
UDIN A021567B001096784