

To,  
The Manager  
Department of Corporate Services-Listing  
**BSE Limited**  
16th floor, P J Towers,  
Dalal Street, Mumbai- 400001

TGL/2019-20/SEC-046  
Date: 25.05.2019

**Sub: Annual Secretarial Compliance Report under Regulation 24A of SEBI  
(Listing Obligations and Disclosure Requirements) Regulations, 2015 read  
with SEBI circular CIR/CFD/CMD1/27/2019 dated 08.02.2019  
Ref: Triveni Glass Limited (Scrip Code 502281)**

Dear sir,

In pursuance to the applicable clauses of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD1/27/2019 dated 08.02.2019, we are enclosing herewith the Annual Secretarial Compliance Report issued by a practicing Company Secretary, for the quarter and year ended 31.03.2019.

This is for your information. Please update the same in your records.

**Thanks and regards  
For Triveni Glass Limited**

  
  
**Sumit Bhattacharya  
Company Secretary**

Encl.: Annual Secretarial Compliance Report

Regd. Office :  
1, Kanpur Road, Allahabad - 211 001, India  
Phone : +91-532-2407325  
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E-mail : akd@triveniglassltd.com  
Website : www.triveniglassltd.com  
CIN No.: L26101UP1971PLC003491



## Saurabh Basu

ACS, ACMA, MBA (Fin)  
Practising Company Secretary  
Insolvency Professional

## S BASU & ASSOCIATES

Company Secretaries  
Code No.- S2017WB456500  
10/6/2 Raja Rammohan Roy Road,  
3<sup>rd</sup> Floor, Kolkata - 700008

### Secretarial Compliance Report of Triveni Glass Ltd. for the year ended 31/03/2019

#### We have examined:

- all the documents and records made available to us and explanation provided by Triveni Glass Ltd. ("the Listed entity"),
- the Filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed,
- any other documents/ filing, as may be relevant , which has been relied upon to make this certification,

For the year ended 31<sup>st</sup> March, 2019 ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued therefore; and
- the Securities Contract (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI") ;

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015;
- Securities and Exchange Board of India (Issued of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Share and Takeover) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;





# Continuation Sheet

**S BASU & ASSOCIATES**

Company Secretaries

Code No.- S2017WB456500

- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2018;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference shares) Regulation, 2013;
- (h) Securities and Exchange Board of India (prohibition of Insider Trading) Regulations, 2015 ; and circulars/ guidelines issued thereunder;

Based on the above examination, **We hereby report that**, during the Review Period:

- (a) The Listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
	No such deviation has been observed		

- (b) the Listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

- (c) The following are the details of actions taken against the Listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of Violation	Details of actions taken e.g. fines, warning Letter, debarmen5t, etc.	Observations/ remarks of Practising Company Secretary, if any.
No such action taken , as informed				



# Continuation Sheet

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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations/ remarks of Practising Company Secretary	Observations made in the secretarial compliance report for the year ended 31.03.2019	Action taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
Not applicable				

Place: Kolkata

Date: 03.05.2019

For S Basu & Associates  
Company Secretaries



Saurabh Basu

Practising Company Secretary

ACS: 18686 ; C.P.: 14347