

January 13, 2022

BSE Limited Department of Corporate Services 1st Floor, New Trading Ring Rotunda Building, P J Towers Dalal Street, Fort, Mumbai 400001

National Stock Exchange of India Limited Exchange Plaza Plot No.C-1, G Block Bandra-Kurla Complex Bandra (East) Mumbai – 400 051

Symbol: JMFINANCIL **Security Code: 523405**

Dear Sirs,

Re: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2021.

We are enclosing the certificate of compliance under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 as received by us from our Registrar and Share Transfer Agents, viz., KFin Technologies Private Limited, for the quarter ended December 31, 2021.

Kindly acknowledge receipt of the above Certificate.

Thank you.

Yours truly,

For JM Financial Limited

Prashant Choksi

Group Head - Compliance, Legal & Company Secretary

Encl. As above.



Ref: KFPL/TBS/74(5)E/L Date: January 05, 2022

To,

Bombay Stock Exchange Limited Phi rozeJeejeebhoy Towers, Dalal Street, Mumbai- 400001

BSE Scrip Code: 523405

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1 Block G, Bandra-Kurla Complex, Bandra (E) Mumbai - 400 051

NSE Scrip Code: JMFINANCIL

Dear Sir(s)

Sub: Certificate under Regulation 74 (5) for the Period 1st October 2021 to 31st December, 2021

We as Registrar and Share Transfer agents of the **JM FINANCIAL LTD**, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

We hereby also confirm that the security certificates received for dematerialization have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,
For KFin Technologies Private Limited

Ramulu Peraboina

Manager

(Formerly known as "Karvy Fintech Private Limited")