

April 21, 2021

BSE Limited  
Department of Corporate Services  
1<sup>st</sup> Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort,  
Mumbai 400001

National Stock Exchange of India Limited  
Exchange Plaza  
Plot No.C-1, G Block  
Bandra-Kurla Complex  
Bandra (East)  
Mumbai – 400 051

Security Code: **523405**

Symbol: **JMFINANCIL**

Dear Sirs,

**Re: Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Compliance Certificate for the half year ended March 31, 2021**

Pursuant to regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting the following certificates confirming the compliance of regulation 7(2) of the said Regulations in connection with the maintenance of the Company's physical and electronic share transfer facilities.

1. Compliance Certificate of the Company for the half year ended March 31, 2021.
2. Compliance Certificate as received from KFin Technologies Private Limited, our Registrar and Share Transfer Agent for the half year ended March 31, 2021.

Kindly acknowledge receipt.

Thank you.

Yours faithfully,  
for JM Financial Limited



**Prashant Choksi**  
Group Head – Compliance, Legal  
& Company Secretary

April 21, 2021

BSE Limited  
Department of Corporate Services  
1<sup>st</sup> Floor, New Trading Ring  
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Mumbai 400001

National Stock Exchange of India Limited  
Exchange Plaza  
Plot No.C-1, G Block  
Bandra-Kurla Complex  
Bandra (East)  
Mumbai – 400 051

Security Code: **523405**

Symbol: **JMFINANCIL**

Dear Sirs,

**Re: Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Compliance Certificate for the half year ended March 31, 2021**

Pursuant to regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that during the half year ended March 31, 2021, all activities in relation to the Company's physical and electronic share transfer facility were maintained by the KFin Technologies Private Limited, Category I Registrar and Share Transfer Agent.

Kindly acknowledge receipt.

Thank you.

Yours faithfully,  
for JM Financial Limited



**Prashant Choksi**

Group Head – Compliance, Legal  
& Company Secretary

April 09, 2021

**The Secretary**

**BSE Limited**

PhirozeJeejebhoy Towers,  
Dalai Street,  
Mumbai- 400001

**National Stock Exchange of India Limited**

Exchange plaza, 5<sup>th</sup> Floor  
Plot no. C/1, G-Block,  
BandraKurla Complex, Bandra (East)  
Mumbai- 400051

**Sub:Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half financial year ended March 31, 2021.**

Dear Sir(s),

JM Financial Limited has appointed KFin Technologies Private Limited, having registration number INR000000221 as Registrar and Share Transfer Agent for handling share transfers and allied matters.

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that for the half financial year ended on March 31, 2021 all the activities in relation to both physical and Electronic share transfer facilities for JM Financial Limited are maintained by KFin Technologies Private Limited (having CIN U72400TG2017PTC117649) having its registered office at Selenium Building, Tower-B, Plot no. 31&32, Financial District, NanakramGuda, Hyderabad – 500032.

Thanking you,

Yours faithfully,

**KFin Technologies Private Limited**



**MRV Subrahmanyam**  
**General Manager**

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649