



Ref: STEX/SECT/2020

October 14, 2020

The Relationship Manager BSE Limited P. J. Towers Dalal Street, Fort Mumbai 400001 BSE Scrip Code: 500480	National Stock Exchange of India Limited Exchange Plaza, 5 th Floor Plot No. C/1, G Block, Bandra – Kurla Complex Bandra (East) Mumbai 400051 NSE Symbol: CUMMINSIND
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**Subject: Compliance Certificate – Regulation 40(9) of SEBI
(Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sirs,

As per the requirement of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing a Compliance Certificate for the half year ended on September 30, 2020, obtained from SVD & Associates, Practicing Company Secretaries, Pune.

Thanking you,

Yours truly,
For Cummins India Limited

Vinaya A. Joshi
Company Secretary & Compliance Officer

Encl: As above
(This letter is digitally signed)

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015
FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER 2020

We have verified the documents and Statements relating to equity shares of **Cummins India Limited** (“the Company”) having its registered office at Cummins India Office Campus, Tower A, 5th Floor, Survey No. 21, Balewadi, Pune-411045, Maharashtra, maintained by **Link Intime India Private Limited**, Registrar and Transfer Agents (“RTA”), having its registered office at C-101, 1st Floor, 247 Park, LalBahadurShastriMarg, Vikhroli (West) Mumbai- 400 083 and branch office at 202, A wing, 2nd Floor, Akshay Complex, off Dhole Patil Road, near Ganesh Mandir, Pune- 411001, sent to us on email, for the period from 01st April 2020 to 30th September 2020 for the purpose to issue certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015(‘SEBI LODR’).

Pursuant to The Securities and Exchange Board of India, Gazette notification dated June 8, 2018 and its press release dated December 3, 2018, amended Regulation 40 of the SEBI (LODR) has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01, 2019, read with SEBI press release dated March 27, 2019 and circular SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 September 7, 2020 relating to transfer deeds lodged prior to deadline and returned due to deficiency in the documents may be re-lodged for transfer even after the deadline of April 01, 2019, we hereby certify that during the half year ended on September 30, 2020 the Company has :

- a) Delivered the share certificates with respect to re-lodgment of requests for transfer of securities within 30 days from the respective date of re-lodgment except those rejected on technical grounds and those that are delayed due to reason as mentioned in Note (1) below;
- b) Delivered the share certificates with respect to requests for deletion of the name of the deceased, transmission of securities, transposition of names wherever applicable, received during this period as entered in the Memorandum of Transfers, has been done within 30 days from the respective date of lodgment of each form except those rejected on technical grounds or those where seller Notices have been sent and those that are delayed due to reason as mentioned in Note (1) below;
- c) Delivered the share certificates with respect to requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, wherever applicable within 30 days of lodgment or event date except those rejected on technical grounds and those that are delayed due to reason as mentioned in Note (1) below.

Note (1) : Timely delivery of share certificates and other documents could not happen due to restrictions imposed during prevailing pandemic of Covid-19.

Note :We could not verify the data physically for this certificate as an effect of the order of the state government and local bodies to observe social distancing so as to avoid the spread of the pandemic of Covid -19.

For SVD & Associates

Suresh
Vithal
Deulkar

Digially signed by Suresh Vithal Deulkar
DN: cn=Suresh Vithal Deulkar,
c=IN, o=Maharashtra, ou=Personal,
st=3502,
serialNumber=7366201025159946c,
d#7378202B9522aa710b74a25899
26695075a6463069
Date: 2020.10.14 15:51:33 +0530

S. V. Deulkar
Partner
FCS No 1321
C. P. No. 965

Date: 14th October 2020
Place: Pune

UDIN :F001321B000937872