



CIN: L15421MP2011PLC027287

GSTIN: 23AAGCP3350D1ZW

ParvatiSweetners And Power Limited

Registered Office: Hall No. 2. Shopping Complex, Gomantika Parisar, Jawahar Chowk,
Bhopal- 462003 (MP), Ph. No. 0755 - 4009254, Email: info@parvatisweetners.com

PSPL/SE/PC/2021-22

Date:- 03.03.2022

Dy. General Manager (Listing)
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

Scrip Code: 541347

Symbol: PARVATI

ISIN: INE295Z01015

**SUB: REGULATION 30 OF THE SEBI (LODR) REGULATIONS, 2015 - REPORTING
OF VIOLATIONS RELATED TO CODE OF CONDUCT UNDER SEBI (PIT)
REGULATION 2015.**

Dear Sir/Madam,

Pursuant to SEBI Circular **SEBIMO/ISD/ISD/CIR/P/2019/82** dated July 19, 2019, please, find enclosed herewith report for the violations related to the code of conduct under SEBI (PIT) Regulations, 2015 for your record.


You are requested to please take it on record.

Kindly acknowledge the receipt.

Thanking you.

Yours faithfully

For, Parvati Sweetners And Power Limited


POONAM CHOURSEY
MANAGING DIRECTOR
DIN NO.:02110270
Encl.: a/a



For Parvati Sweetners and Power Ltd.


Director/Authorised Signatory

Report by ParvatiSweetners And Power Limited (CIN: L15421MP2011PLC027287)
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of
Insider Trading) Regulations, 2015

(Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	ParvatiSweetners And Power Limited
2	Please tick appropriate checkbox Reporting in capacity of <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Keshav
	ii. PAN of the DP	CUOPK8028C
	iii. Designation of DP	Employee
	iv. Functional Role of DP	Purchase Department
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	ParvatiSweetners And Power Limited
	ii. No of shares traded and value (Rs.) (Date-wise)	31.12.2021 - 3 Equity Shares * 18.34/- Rs. WAP= 55.02 Rs/- Approximately 07.01.2022 - 5203 Equity Shares * 21.14/- Rs. WAP= 109991.42 Rs/ Approx. 14.01.2022 - 34730 Equity Shares * 17.55/- Rs. WAP = 609511.5 Rs/- Approx. <u>Net Total - 39936 Fully Paid up Eq. Shares and Purchase Value = 719557.94 /- Rs.</u>
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/ promoter/ promoter group to Company under regulation	NA

	7 of SEBI (PIT) Regulations, 2015	
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	a) Purchase of shares of the Company during trading window closure b) Prior approval not taken.
5	Action taken by Listed company/ Intermediary/ Fiduciary	<p>Advice letter has been issued to the Designated Person, Mr. Keshav by Independent Monitoring Agency M/S. Linkin Time I. P.L. that he would not engage in any kind of dealings in the Equity Shares of the Company in violation of the Company's Code of Conduct and SEBI (Prohibition of Insider Trading) Regulations, 2015. However it was 1st time trade, therefore Independent Agency & Company has Not Imposing any Penalty.</p> <p>The designated person has also been provided again with a copy of Code of Conduct along with presentation on compliances under SEBI (Prohibition of Insider Trading) Regulations, 2015. he has been further advised that in case of any subsequent violation stricter action(s) would be taken by the Company.</p>
6	Reasons recorded in writing for taking action stated above	<p>The Company acknowledges that this was the first instance of violation done without any malafide intention.</p> <p>The Company also believed that such action will serve to discourage any future instances of violation by any Designated Person or their relatives as well.</p>
7	Details of the previous instances of violations, if any, since last financial year	NIL
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	
	ii. Details of transfer/payment: NEFT	
	In case of Online:	
	Particulars	Details
	Name of Transferor	
	Bank Name, branch and Account number	
	UTR/Transaction reference Number/NEFT	
	Transaction date	
	Transaction Amount (in Rs.)	

In case of Demand Draft (DD):	
Particulars	Details
Bank Name and branch	
DD Number	
DD date	
DD amount (in Rs.)	
9 Any other relevant information	

Thanking you.
Yours faithfully
For, Parvati Sweetners And Power Limited

MW
POONAM CHOUKSEY
MANAGING DIRECTOR
DIN NO.:02110270
Encl.: a/a



For Parvati Sweetners and Power Ltd.

MW
Director/Authorised Signatory

Email id: Info@parvatisweetners.com

Place: Bhopal

Date: 03.03.2022

ENCL. ADVICE LETTER COPIES



1st Advice Letter

parvati Info <info@parvatisweetners.com>

Parvati Sweetners And Power Limited - Advice Letter on Contra Trade/ Reverse Transaction

noreply@linkintime.co.in <noreply@linkintime.co.in>
To: KESHAVCHOUKSEY@outlook.com
Cc: info@parvatisweetners.com, cspspl21@gmail.com

Tue, Jan 4, 2022 at 10:11 AM

Dear KESHAV

As informed to you earlier you are categorized as one of the Insider by the Company in relation to SEBI's Prohibition for Insider Trading Regulations. We have observed that you have bought 3 shares under DP/CI Id 1208160063537355 of the Company on or around 31 Dec 2021.

As per clause 10 of Schedule B of the Regulations, you should refrain from undertaking contra trade in the shares of the Company for a period of 6 months from the date of your aforesaid transaction.

Refraining from undertaking contra transaction means, if the Insider has purchased any shares, then NO sale transaction for any quantity can be done for a period of 6 months from the last date of purchase transaction and where the Transaction is a sale transaction, then NO purchase transaction for any quantity can be done for a period of 6 months from the last date of sale transaction.

This communication is being sent to you to enable you to understand the provisions of the SEBI Regulations and refrain from executing any contra transaction as specified.

In case of an emergency forcing you to undertake a sale transaction within a period of 6 months from your last purchase transaction, you may approach the Compliance Officer, Parag Mittal at info@parvatisweetners.com, cspspl21@gmail.com. Compliance Officer may grant such permission, if satisfied about need to do so.

For any further queries/ clarification, please contact at spg@linkintime.co.in.

Regards,

For

Parvati Sweetners And Power Limited



II bid Advice letter

parvati Info <info@parvatisweetners.com>

Parvati Sweetners And Power Limited - Advice Letter on Contra Trade/ Reverse Transaction

noreply@linkintime.co.in <noreply@linkintime.co.in>
To: KESHAVCHOUKSEY@outlook.com
Cc: info@parvatisweetners.com, cspspl21@gmail.com

Mon, Jan 10, 2022 at 7:09 PM

Dear KESHAV

As informed to you earlier you are categorized as one of the Insider by the Company in relation to SEBI's Prohibition for Insider Trading Regulations. We have observed that you have bought 5203 shares under DP/CI Id 1208160063537355 of the Company on or around 07 Jan 2022.

As per clause 10 of Schedule B of the Regulations, you should refrain from undertaking contra trade in the shares of the Company for a period of 6 months from the date of your aforesaid transaction.

Refraining from undertaking contra transaction means, if the Insider has purchased any shares, then NO sale transaction for any quantity can be done for a period of 6 months from the last date of purchase transaction and where the Transaction is a sale transaction, then NO purchase transaction for any quantity can be done for a period of 6 months from the last date of sale transaction.

This communication is being sent to you to enable you to understand the provisions of the SEBI Regulations and refrain from executing any contra transaction as specified.

In case of an emergency forcing you to undertake a sale transaction within a period of 6 months from your last purchase transaction, you may approach the Compliance Officer, Parag Mittal at info@parvatisweetners.com, cspspl21@gmail.com. Compliance Officer may grant such permission, if satisfied about need to do so.

For any further queries/ clarification, please contact at spg@linkintime.co.in.

Regards,

For

Parvati Sweetners And Power Limited



IIIrd Advice Letter.

parvati Info <info@parvatisweetners.com>

Parvati Sweetners And Power Limited - Advice Letter on Contra Trade/ Reverse Transaction

noreply@linkintime.co.in <noreply@linkintime.co.in>
To: KESHAVCHOUKSEY@outlook.com
Cc: info@parvatisweetners.com, cspspi21@gmail.com

Mon, Jan 17, 2022 at 4:12 PM

Dear KESHAV

As informed to you earlier you are categorized as one of the Insider by the Company in relation to SEBI's Prohibition for Insider Trading Regulations. We have observed that you have bought 34730 shares under DP/CI Id 1208160063537355 of the Company on or around 14 Jan 2022.

As per clause 10 of Schedule B of the Regulations, you should refrain from undertaking contra trade in the shares of the Company for a period of 6 months from the date of your aforesaid transaction.

Refraining from undertaking contra transaction means, if the Insider has purchased any shares, then NO sale transaction for any quantity can be done for a period of 6 months from the last date of purchase transaction and where the Transaction is a sale transaction, then NO purchase transaction for any quantity can be done for a period of 6 months from the last date of sale transaction.

This communication is being sent to you to enable you to understand the provisions of the SEBI Regulations and refrain from executing any contra transaction as specified.

In case of an emergency forcing you to undertake a sale transaction within a period of 6 months from your last purchase transaction, you may approach the Legal Head & Chief Financial Officer, Mr. Vishal Shivhare at info@parvatisweetners.com, vnshivhare@gmail.com. Legal Head & Chief Financial Officer may grant such permission, if satisfied about need to do so.

For any further queries/ clarification, please contact at spg@linkintime.co.in.

Regards,

For

Parvati Sweetners And Power Limited