

Report by Omax Autos Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

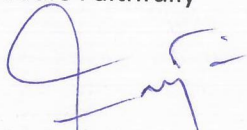
Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations,
2015

Sr. No.	Particulars	Details
1.	Name of the listed company	Omax Autos Limited
2.	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	Name of the DP	Warun Mehta
	PAN of the DP	AFLPM9412L
	Designation of DP	N.A.
	Functional Role of DP	N.A.
	Whether DP is Promoter or belongs to Promoter Group	Yes, Promoter
	B. If Reporting is for immediate relative of DP	
i.	Name of the immediate relative of DP	N.A.
ii.	PAN of the immediate relative of DP	N.A.
	C. Details of transaction(s)	
i.	Name of the scrip	520021 (BSE) OMAXAUTO (NSE)
ii.	No of shares traded and value (Rs.) (Date- wise)	5,000 equity shares Value-Rs. 2,14,400 Date-17/08/2020
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	
	i. Date of intimation of trade(s) by DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NIL
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	
4.	Details of violations observed under Code of Conduct	Trading during closure of Trading Window



5.	Action taken by Listed company	Show Cause Notice sent by Company												
6.	Reasons recorded in writing for taking action stated above	No reply to Show Cause Notice received by Company												
7.	Details of the previous instances of violations, if any, since last financial year	NIL												
8.	If any amount collected for Code of Conduct violation(s)	N.A.												
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)													
	ii. Details of transfer/payment In case of Online:													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.)		
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DD Number														
DD date														
DD amount (in Rs.)														
9.	Any other relevant information													

Yours Faithfully



Sanjeeb Kumar Subudhi
Compliance Officer

PAN: AZLPS2428J

Email ID: subudhi.sanjeeb@omaxauto.com



Date: 18/09/2020

Place: Gurugram