## PHOTON CAPITAL ADVISORS LIMITED

(CIN: L65910TG1983PLC004368)

Regd. Office: Plot No.90-A, Road No.9, Jubilee Hills, Hyderabad - 500 033, Telangana

Tel/Fax No: 9951339995, Website: http://www.pcalindia.com/

Email Id - info@pcalindia.com

Date: 11.10.2021

To,
The Manager
DCS- CRD
The BSE Limited
25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.

Dear Sir,

Sub.: Certificate under regulation 7(3) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 30.09.2021.

Please find enclosed a copy of certificate duly signed by Compliance officer and authorised representative of Share Transfer Agent under regulation 7(3) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 30.09.2021.

Thanking you,

Yours truly,

For PHOTON CAPITAL ADVISORS LIMITED

Sobharani Nandury Digitally signed by Sobharani Nandury
DN: c=IN, o=Personal,
2-5.4.20—bit 14/292e6ea0266/71180ebc/47ecd5537715720163b
1ebb1305993304a33dc9, postalCode=500033, st=Andhra
Pradesh,
serialNumber=447df58b346919402d72d7bcb9814e1a98042
73fd3ab532d9bC2841f86353fc, cn=Sobharani Nandury
Date: 2021.10.1112/034440539

SOBHARANI NANDURY WHOLE-TIME DIRECTOR DIN- 00567002

**Encl:** Certificate under regulation 7(3) of SEBI (LODR), Regulations, 2015.



## COMPLIANCE CERTIFICATE Half year ended 30/09/2021

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to PHOTON CAPITAL ADVISORS LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

## FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES PRIVATE LIMITED

Mohammed Shanoor Corporate Registry

Place: Hyderabad Date: 06/10/2021

Sd/-Neha Agarwal Compliance Officer

**KFin Technologies Private Limited** 

(Formerly known as "Karvy Fintech Private Limited")