

# THE UGAR SUGAR WORKS LIMITED.

Works \* Ugar Khurd – 591 316, Dist.Belgaum, Karnataka  
E-mail \* helpdesk@ugarsugar.com  
Regd. Office \* Mahaveernagar, Sangli – 416 416, Maharashtra.  
E-mail \* Usw.sangli@ugarsugar.com

Phone \* -91 8339 272230 (5 Lines) Fax \* -918339 272232  
Website \* www.ugarsugar.com  
Phone \* -91 233 2623717, 2623716 Fax \* -91 233 2623617  
TIN No. \* 29520007001, PAN-AAACT7580R  
GSTIN \* 29AAACT7580R1ZD. ECC No.AAACT7580 RXM001.  
(CIN – L15421PN1939PLC006738)

Sec/

Date: 02.11.2022

To,  
**The Executive Director,**  
Bombay Stock Exchange Ltd.,  
P J Towers, Dalal Street,  
Mumbai.  
Tel No: (022) 22721234  
Fax No: (022) 22721278/22722039  
**Stock Code: 530363**

To,  
**Corporate Communications**  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot no. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai - 400 051 Tel No: (022) 26598148  
Fax No: (022) 26598120  
**Stock Code: UGARSUGAR**

Dear Sir,

Sub: Regulation 39(3) information regarding loss of share certificate.

The below Details are intimation for issue of duplicate certificate and date of intimation is 02.11.2022.

Client Name	Cert. No	Dist. No From	Dist. NO. To	Folio No.	Quantity	Name
THE UGAR SUGAR WORKS LTD	12242	62157685	62157984	0003749	300	MALHARI GOPALRAO PIMPALKAR
THE UGAR SUGAR WORKS LTD	19884	100298145	100299394	0003749	1250	MALHARI GOPALRAO PIMPALKAR
THE UGAR SUGAR WORKS LTD	3749	56225681	56226180	0003749	500	MALHARI GOPALRAO PIMPALKAR

Kindly acknowledge the receipt.

Thanking you,  
Yours faithfully,

**Mumbai** :701, Roha Orion, 16<sup>th</sup> Road, Bandra (W), Mumbai 400 050.,Phone:+91 22 26043540, Telefax:+91 22 26045848, E-mail :usw.bby@ugarsugar.com.

**Bangalore**: 317, 14<sup>th</sup>Cross,,9<sup>th</sup>Main Jaynagar, II Block,Back Side of Kuchalamba Kalyan Mantap,560 011.Ph./Fx:+91 80 26565630, mail:usw.blr@ugarsugar.com.

**Belgaum** : Chinmaya, Plot No.267/B, Sector No.II, Backside of S.B.I. Shivabasav Nagar-591 010, Telefax No.+91831 2472204,Email:usw.bgm@ugarsugar.com.

**From:** bssduplicate@bigshareonline.com [mailto:bssduplicate@bigshareonline.com]

**Sent:** Wednesday, November 2, 2022 3:09 PM

**To:** tushar.deshpande@ugarsugar.com; usw.secretarialdept@ugarsugar.com

**Cc:** harisha@bigshareonline.com; bss.transactions@bigshareonline.com; joyv@bigshareonline.com; Bssduplicate@Bigshareonline.Com

**Subject:** Duplicate Share Intimations Received On : 20221101 THE UGAR SUGAR WORKS LTD Folio no: 0003749

Dear Sir/Ma'am,

Please be informed that in terms of the provisions of the SEBI (LODR) Regulations, 2015, the Company is required to submit information regarding loss of share certificates and issue of duplicate certificates, to the stock exchange within two days of its getting information. Further, the said intimation has to be filed only through the mode of NEAPS filing for NSE and on listing.bseindia.com for BSE and not via hard copy submission.

Accordingly, we are sharing herewith the receipt of the following request for loss of share certificate of the Company by shareholders, to enable you to comply with the said regulatory requirement within the timelines prescribed.

Client Name	Cert. No	Dist. No From	Dist. NO. To	Folio No.	Quantity	Name	JH 1	JH 2
THE UGAR SUGAR WORKS LTD	12242	62157685	62157984	0003749	300	MALHARI GOPALRAO PIMPALKAR		
THE UGAR SUGAR WORKS LTD	19884	100298145	100299394	0003749	1250	MALHARI GOPALRAO PIMPALKAR		
THE UGAR SUGAR WORKS LTD	3749	56225681	56226180	0003749	500	MALHARI GOPALRAO PIMPALKAR		

Should you have any queries in respect of the aforesaid instruction kindly revert back.

Regards,

Bigshare Services Pvt. Ltd.

# Form ISR-4

(see circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

## Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

Date: 12/10/2022

### A. Mandatory Documents / details required for processing all service request:

I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick ✓ as relevant, refer to the instructions):

- Demat Account No. (If available):

Provide Client Master List (CML) of your Demat Account from the Depository Participant\*

- Provide the following details, if they are not already available with the RTA (see SEBI circular dated November 03, 2021 in this regard)

PAN	Specimen Signature
Nomination / Declaration to Opt-out	

\* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your CML). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use Form ISR-1 in SEBI circular dated November 03, 2021.

### B. I / We request you for the following (tick ✓ relevant box)

<input type="checkbox"/> Issue of Duplicate certificate	<input type="checkbox"/> Claim from Unclaimed Suspense Account
<input type="checkbox"/> Replacement/ Renewal / Exchange of securities certificate	<input type="checkbox"/> Endorsement
<input type="checkbox"/> Sub-division / Splitting of securities certificate	<input type="checkbox"/> Consolidation of Folios
<input type="checkbox"/> Consolidation of Securities certificate	<input type="checkbox"/> Transmission
<input type="checkbox"/> Transposition (Mention the new order of holders here)	

BIGSHARE SERVICES PVT. LTD.  
RECEIVED  
Subject to Verification  
01 NOV 2022  
Sign: \_\_\_\_\_

### C. I / We are enclosing certificate(s) as detailed below\*\*:

Name of the Issuer Company	597553 THE UGAR SUGAR WORKS LTD
Folio Number	0003749
Name(s) of the security holder(s) as per the	1. MALHARI GOPAL PIMPALKAR 2.

certificate(s)	3.
Certificate numbers	19884
Distinctive numbers	100298145 - 100299394
Number & Face value of securities	

\*\* Wherever applicable / whichever details are available

**D. Document / details required for specific service request:**

- I.  Duplicate securities certificate
- II.  Claim from Unclaimed Suspense Account

Securities claimed	(in numbers) (in words)
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- III.  Replacement / Renewal / Exchange of securities certificate  
(that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)
- IV.  Endorsement
- V.  Sub-division / Splitting of securities certificate
- VI.  Consolidation of securities certificate/Folios
- VII.  Transmission
- VIII.  Transposition

*Provide / attach original securities certificate(s) for request for item numbers III to VIII above.*

**Declaration:** All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	SecurityHolder 2	SecurityHolder 3
Signature	✓ [Handwritten Signature]	✓	✓
Name	MALHARI GOPAL PIMPALKAR ✓		
Full address	KUDACHI ROAD TAL - UGAR KHURD TAL - KAGAWAD (ATHANJ) DIST - BELGAUM		
PIN	✓ 591316 □□	□□□□□□	□□□□□□

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.