

**Ref:** UTI/AMC/CS/SE/2021-22/058

**Date:** 5<sup>th</sup> April, 2021

**National Stock Exchange of India Limited**

Exchange Plaza Plot No. C/1  
G Block Bandra-Kurla Complex  
Bandra (East) Mumbai – 400051  
Scrip Symbol: UTIAMC

**BSE Limited**

Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001  
Scrip Code/Symbol: 543238/UTIAMC

**Sub: Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir/ Madam,

Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Compliance Certificate for the half year ended 31<sup>st</sup> March, 2021.

We request you to disseminate the above information on your respective websites.

Thanking you,

**For UTI Asset Management Company Limited**

Arvind Patkar  
**Company Secretary and Compliance Officer**



**Encl.:** As above

UAM/SD/SE/000/2020-21/HY2

Apr 05, 2021

**The Manager-Listing Department**  
**National Stock Exchange of India Limited**  
Exchange Plaza,  
Bandra Kurla Complex, Bandra (East)  
Mumbai – 400 051.  
**Tel No.:** 022 – 2659 8237/ 38  
**NSE - Symbol – UTIAMC**

**The Manager-Listing Department**  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai – 400 001.  
**Tel No.:** 022 – 2272 2039/ 37/3121  
**BSE- Scrip Code: 543238**

Dear Sir/Madam,

**Sub : Compliance Certificate for the Half-Year Ended – Mar 31, 2021**  
**Ref : Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

This is to certify that UTI Asset Management Company Limited ('Company') has appointed M/s KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) ('Registrar'), having its registered office at Selenium Tower- B, Plot No.31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad-500 032 Telangana, as its Registrar and Share Transfer Agent, and the activities in relation to both Physical and Electronic Share Transfer facility of the Company are maintained by the Registrar. M/s KFin Technologies Private Limited is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India *vide* Registration Number: INR000000221.

Accordingly, the compliance in respect of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is ensured.

Thanking you,

Yours faithfully,

**For UTI Asset Management Company Limited**



Arvind Patkar  
Company Secretary and Compliance Officer

**For KFin Technologies Private Limited**

Rajitha Cholleti  
Asst. General manager