

60 years
with you

**BHARAT
BHUSHAN**

SINCE 1954

BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

(Corporate Identity Number - L67120DL1992PLC 049038)

Regd Off: 503, Rohit House, 3 Tolstoy Marg, New Delhi-110001

Phones : 011-43566777, Fax : 011-23540996

E-mail : commodities@bharatbhushan.com • Website : www.bbinvestments.in

REF: BBFCB/BSE/2019-20

08TH MAY, 2020

To,

BOMBAY STOCK EXCHANGE LTD.
CORPORATE RELATIONSHIP DEPARTMENT
PHIROZE JEEJEEBHOY TOWERS,
DALAL STREET
MUMBAI-400001

SCRIP CODE: 511501

SUB: CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2020

DEAR SIRs,

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s. Kavita Pamnani & Associates, Practicing Company Secretary, for the Half year ended 31st March 2020.

We hope that you will find the above in order.

Thanking you,

Yours Truly,

FOR BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.


(PANKAJ SINGH BISHT)
COMPANY SECRETARY



Encls: a/a

KAVITA PAMNANI & ASSOCIATES
COMPANY SECRETARY

A -104, Lower ground Floor,
Amar Colony, Lajpat Nagar-IV,
New Delhi-110024
Mobile No: +91-9811676217
Phone : 011 - 41576217
E-mail : kavitasewani1@gmail.com

To,
The Board of Directors
Bharat Bhushan Finance & Commodity Brokers Limited
503, Rohit House, 3, Tolstoy Marg, Connaught Place
New Delhi – 110001

CERTIFICATE UNDER REGULATIONS 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2020

I have examined all electronic copies (due to lock down restrictions) of Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s Bharat Bhushan Finance & Commodity Brokers Limited (Formerly known as Bharat Bhushan Share & Commodity Brokers Ltd.) maintained by Alankit Assignments Limited (RTA) pertaining to transfer of equity shares of the company for the period from 1st October, 2019 to 31st March, 2020 for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that:

- A) The Company has not received any requests for transfer and transmission of shares during the period from 1st October, 2019 to 31st March, 2020;
- B) The Company has not received any requests for split, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1st October, 2019 to 31st March, 2020;
- B) The Company received one request comprising of 500 shares were received for issue of duplicate share certificates during the period from 1st October, 2019 to 31st March, 2020

For Kavita Pamnani & Associates
Company Secretaries

KAVITA Pamnani
PAMNANI Digitally signed by
KAVITA PAMNANI
Date: 2020.05.08
16:44:09 +05'30'

Kavita Pamnani
(Proprietor)
CP No. 11099

UDIN: F006288B000216113

Place: New Delhi
Date: 08.05.2020