

April 22, 2021

BSE Limited
1st Floor, New Trading Ring
Rotunda Building
P J Towers, Dalal Street, Fort
Mumbai 400 001

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No.C/1, G Block
Bandra-Kurla Complex
Bandra(E), Mumbai 400 051

SCRIP CODE : 500477

SCRIP CODE : ASHOKLEY

Through : BSE Listing Centre

Through : NEAPS


Dear Sirs,

Sub : Compliance Certificate

Pursuant to Regulation 40(9&10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed please find certificate from Practising Company Secretary for the period from October 1, 2020 to March 31, 2021 in respect of transfer/ transmission of securities etc. of the Company.

Thank you.

Yours faithfully,
for ASHOK LEYLAND LIMITED



N Ramanathan
Company Secretary

Encl: a/a

ASHOK LEYLAND LIMITED

Registered & Corporate Office: No.1, Sardar Patel Road, Guindy, Chennai - 600 032, India | T : +91 44 2220 6000 | F : +91 44 2220 6001
CIN - L34101TN1948PLC000105 | www.ashokleyland.com

22nd April, 2021

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from **01stOctober, 2020 to 31st March, 2021**

ISIN: INE 208A01029

SECURITY CODE:500477

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities could be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deed(s) lodged prior to the above deadline and returned due to deficiency in the document(s) may be re-lodged for transfer even after the stipulated deadline of 01st April, 2019.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Messrs. Ashok Leyland Limited (CIN: L34101TN1948PLC000105)** having its Registered Office at No.1, Sardar Patel Road, Guindy, Chennai 600 034, maintained by its Registrar and Share Transfer Agent viz., **M/s. Integrated Registry Management Services Private Limited** having its office at II Floor, "Kences Towers", No.1, Ramakrishna Street, North Usman Road, T. Nagar, Chennai – 600 017 of the company for the period from **01stOctober, 2020 to 31st March, 2021** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by **Messrs. Ashok Leyland Limited** with the **BSE Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended **31st March, 2021** regarding the share certificates relating to the share transfer deeds during the period from **1st October, 2020 to 31st March, 2021** which was lodged prior to 01st April, 2019 and re-lodged on 31st March, 2021 which was rejected due to technical grounds from the date **1st October, 2019 to 31st March, 2020** of lodgment for transfer as stipulated under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

During the aforementioned period, **Ashok Leyland Limited** has received requests for Transmission of shares, change of name, Split, Transposition and issue of Duplicate shares certificates after approval has been delivered within the stipulated time period as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

During the aforementioned period, **Ashok Leyland Limited** has not received any request(s) for Consolidation of share certificate(s).

**For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**

R
SRIDHARAN

Digitally signed
by R SRIDHARAN
Date: 2021.04.22
11:06:46 +05'30'

CS R.SRIDHARAN

C.P.No.3239

FCS No.4775

UIN: S2003TN063400

UDIN: F004775C000153429