



**3M India Limited**

WeWork Prestige Central  
3rd floor, 36 Infantry Road, Tasker Town,  
Bangalore 560001, India  
Office : +91 80 22231414

**Registered Office**

Plot Nos.48-51, Electronics City,  
Hosur Road Bangalore 560 100, India  
Office : +91 80 45594300

[www.3m.com/in](http://www.3m.com/in)

CIN: L31300KA1987PLC013543

investor helpdesk : [investorhelpdesk.in@mmm.com](mailto:investorhelpdesk.in@mmm.com)

PAN: AAACB5724H || GSTIN: 29AAACB5724H1ZQ

May 29, 2021

The Corporate Relationship Department  
Bombay Stock Exchange Limited,  
1<sup>st</sup> Floor, New Trading Ring, Rotunda Building  
P.J. Towers, Dalal Street, Fort  
Mumbai - 400 001

Scrip Code - 523395

The Secretary  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra – Kurla Complex  
Bandra (E), Mumbai – 400 051

Scrip Code – 3MINDIA

Dear Sir/Madam,

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2021.**

**Ref: Reg.24A of the SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019.**

Please find enclosed Annual Secretarial Compliance Report dated May 28, 2021 issued by Mr. Vijayakrishna K T, Practising Company Secretary for the year ended March 31, 2021. Request you to take the same on your record.

Kindly bring this to the notice of the Members of the Exchange.

Thanking you,

Yours faithfully,  
For 3M India Limited,

V. Srinivasan  
Company Secretary

VIJAYAKRISHNA K T  
BBM, LLB, FCS, ACMA  
Company Secretary

#496/4, II Floor, 10<sup>th</sup> Cross  
Near Bashyam Circle, Sadashivanagar  
Bangalore – 560 080, INDIA  
Tel: +91 80 23610847  
E-mail: vijaykt@vjkt.in  
[ktvijaykrishna@gmail.com](mailto:ktvijaykrishna@gmail.com)

**SECRETARIAL COMPLIANCE REPORT OF 3M INDIA LIMITED  
FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

I, Vijayakrishna K T, Practising Company Secretary have examined all the documents and records made available to us and explanation provided by 3M INDIA LIMITED having CIN:L31300KA1987PLC013543 and having its Registered Office at Plot No 48-SI, Electronic City, Hosur Road, Bangalore - 560100 (“the listed entity”), the filings/submissions made by the listed entity to the Stock Exchanges, website of the listed entity and other document/filing and as may be relevant, which has been relied upon to make this certification for the Financial Year ended 31<sup>st</sup> March, 2021 (“1<sup>st</sup> April, 2020 to 31<sup>st</sup> March, 2021”) in respect of compliance with the provisions of:

- (a) Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) Securities Contracts (Regulation) Act, 1956 (“SCRA”), Rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the Circulars/Guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 and
- (j) Circulars/Guidelines issued thereunder;

Based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

SI. No.	Compliance Requirement (Regulations/circulars/Guidelines including specific clause)	Deviations	Observations/Remarks of the Practising Company Secretary
NIL*			

\* With relation to regulation 3 (5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, the Listed Entity represented that it has robust prevailing system for tracking of sharing the Un-published Price Sensitive Information (UPSI) and is in the process of establishing Structured Digital Database (SDD) in software format.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its Promoters/Directors/Material Subsidiaries either by SEBI or by Stock Exchanges

(including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and Circulars/Guidelines issued thereunder:

Sl. No.	Action taken by	Details of violation	Details of action taken ex. Fines, warning letter, debarment etc....	Observations/Remarks of the Practising Company Secretary, if any
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sl. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
1	NIL	NIL	NA	NA

KONILA  
THIRUMALESWAR  
A VIJAYAKRISHNA

Vijayakrishna K T  
Practising Company Secretary  
FCS:1788 CP: 980  
UDIN: F001788C000386051

Place: Bangalore  
Date: 28<sup>th</sup> May, 2021