

CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 www.ctcl.co.in ctclbse@gmail.com CIN: L51909MH1980PLC23171

Date: 14th May, 2022

Online Filing at: www.listing.bseindia.com

To
The Manager,
DCS-CRD
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street, Fort,
Mumbai – 400 001

Scrip Code: 504340

Sub: Submission of the Annual Secretarial Compliance Report for the year ended 31.03.2022.

With reference to the terms of clause 3(b)(iii) of the SEBI Circular No. CIR/CFD/CMD 1/27/2019 dated 8th February, 2019, we hereby submit the Annual Secretarial Compliance Report for the year ended 31.03.2022.

The aforesaid Report shall also be filed in XBRL mode simultaneously.

You are requested to please take on record the above said document for your reference and further needful.

Thanking You

Yours Faithfully

For Confidence Finance and Trading Limited



SHWETA SHARMA
Company Secretary &
Compliance Officer



Secretarial Compliance Report of Confidence Finance and Trading Limited for the year ended 31st March 2022

I have examined:

- (a) all the documents and records made available to us and explanation provided by Confidence Finance and Trading Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; -Not Applicable
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; -Not Applicable
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; -Not Applicable
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; -Not Applicable
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; -Not Applicable



R-S Agrawal

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; -Not Applicable

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the dealing with client;

and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above regulations and circulars/ guidelines issued thereunder:

(b) The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records;

(c) No action has been taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by stock exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circular/guidelines issued thereunder.

(d) Listed entity has taken the following actions to comply with the observations made in previous reports:-Not applicable

For Ritika Agrawal & Associates

Ritika Agrawal

Ritika Agrawal

Proprietor

M. No. 8949

COP No. 8266

UDIN: F008949D000308006



Place: Mumbai

Date: 12/05/2022